



# Rate Case Filing Requirements

<sup>1</sup> Fuel clause may be revised in May/Sept/Jan, in base rate case, or on an interim basis if under-recovery greater than the rolling 12-month annual fuel cost.

<sup>2</sup> Applies to Formula Rate Plan only.

<sup>3</sup> Rates not implemented until final order received. After order received, new rates are retroactive to 155 days after the case file date.

<sup>4</sup> For a biennial rate case commencing on March 31, the SCC shall grant a final order no later than November 20, with rates taking effect no later than January 1 of the subsequent year. SCC has statutory limits to issue decisions within 3 months for transmission cost recovery, 8 months for environmental compliance and 9 months for cost recovery related to new generating facility.

<sup>5</sup> Notice is sent to municipalities within the jurisdiction but is not a filing with the PUCT.

<sup>6</sup> Post test year adjustments may be made for investments that represent 10% of rate base and are expected to be in service before rates go into effect.

## General

	AR	IN	KY	LA	MI	OH	OK	TN	TX (SPP)	TX (ERCOT)	VA	WV	FERC Generation	FERC Transmission
<b>Time Limitations Between Cases</b>	No	Yes	No	No	> 12 mos.	No	No	No	< 48 mos.	< 48 mos.	Yes	No	-	-
<b>Rates Effective Subject to Refund</b>	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	No	No	No	-	-
<b>Fuel Clause Renewal Frequency</b>	Annual	Bi-Annual	Monthly	Monthly	Annual	-	Annual	Annual	As Needed/Threshold <sup>1</sup>	-	Annual	Annual	-	-
<b>Approx # of Months After Filing to Implement Rates</b>	10	10 @ 50% if no order	6	4 <sup>2</sup>	10	12	6	7	12 <sup>3</sup>	12	10 <sup>4</sup>	10	< 1	2
<b>Approx # of Months After Filing Order Expected</b>	10	10	10	4 <sup>2</sup>	10	12	6	7	12	9	8	10	-	-

## Notice of Intent

	AR	IN	KY	LA	MI	OH	OK	TN	TX (SPP)	TX (ERCOT)	VA	WV	FERC Generation	FERC Transmission
<b>Prior PSC Notice Required?</b>	Yes	Yes	Yes	No	Yes	Yes	Yes	No	Yes <sup>5</sup>	Yes <sup>5</sup>	Yes	Yes	No	No
<b>Notice Period (days)</b>	60-90	30	30-60	-	60	30	45	-	30	30	60	30	-	-

## Case Components

	AR	IN	KY	LA	MI	OH	OK	TN	TX (SPP)	TX (ERCOT)	VA	WV	FERC Generation	FERC Transmission
<b>Base Case Test Year</b>	Partially Projected	Hist., Forecast or Hybrid	Hist. or Forecast	Hist. (Formula Rate)	Forecast Optional	Partially/ Fully Projected	Hist.	Hist. or Forecast	Hist.	Hist.	Hist.	Hist.	Historical/ Forecasted	Forecasted
<b>Post Test Year Adj. Period (Months)</b>	12	12	12	-	-	-	6	12	Items >10% rate base <sup>6</sup>	Items >10% rate base <sup>6</sup>	12	12	-	True-up