

SAFETY FACTOR ASSESSMENT PERIODIC 5-YEAR REVIEW

30 TAC 352.731 (40 CFR 257.73e)

West Bottom Ash Pond

Pirkey Plant
Hallsville, Texas

October, 2021

Prepared for: Southwest Electric Power Company (SWEPCO) – Pirkey Plant
Hallsville, Texas

Prepared by: American Electric Power Service Corporation
1 Riverside Plaza
Columbus, OH 43215



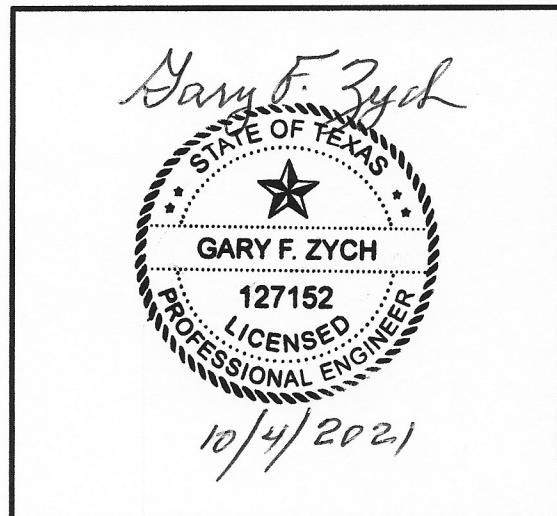
GERS-21-049

**SAFETY FACTOR ASSESSMENT
PERIODIC 5-YEAR REVIEW
CFR 257.73(e)
PIRKEY PLANT
WEST BOTTOM ASH POND**

PREPARED BY Brett A. Dreger DATE 10/1/2021
Brett A. Dreger, P.E.

REVIEWED BY Mohammad A. Ajlouni DATE 10/3/2021
Mohammad A. Ajlouni, P.E.

APPROVED BY Gary F. Zych DATE 10/4/2021
Gary F. Zych, P.E.
Section Manager – AEP Geotechnical Engineering



I certify to the best of my knowledge, information, and belief that the information contained in this safety factor assessment meets the requirements of 40 CFR § 257.73(e)

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1.0 OBJECTIVE

This report was prepared by AEP- Geotechnical Engineering Services (GES) section to fulfill requirements of 30 TAC 352.731 (40 CFR 257.73(e)) for the safety factor assessment of CCR surface impoundments. This is the first periodic 5-year review of the safety factor assessment.

2.0 DESCRIPTION OF THE CCR UNIT

The Henry W. Pirkey Power Station is located at 2400 FM 3251 and south of Hallsville, Texas. It is owned and operated by Southwest Electric Power Company (SWEPCO). The facility operates two surface impoundments for storing CCR materials called the East Bottom Ash Pond (East BAP) and the West Bottom Ash Pond (West BAP).

The West BAP is located northwest of the main plant and shares its eastern border with the western border of the East BAP. The West BAP receives sluiced bottom ash and has a surface area of 30 acres and a storage capacity of 188 acre-feet. The maximum embankment height is 25 feet. Design documents indicate that the main upstream embankment slopes are 3 feet horizontal to 1 foot vertical (3:1 H:V); while the main downstream slopes are 2.5:1 H:V.

3.0 SAFETY FACTOR ASSESSMENT 257.73(e)

The periodic 5-year review was conducted to evaluate if any physical changes have been made to the earthen dike and/or operating changes that could impact the loading on the structure. The assumptions, material properties and operating pools defined in the initial assessment were reviewed. The review concluded that there have been no changes that would impact the stability analyses that were previously conducted. Therefore, the previous report and analyses are still applicable to the current conditions of the facility. The results indicate that the calculated factors of safety meet or exceed the minimum values defined in Section 257.73(e).

ATTACHMENT A

Initial Safety Factor Assessment – West Ash Pond

**Initial Safety Factor Assessment – West Ash Pond
Pirkey Power Generating Station
Hallsville, Texas**

**Auckland Project No. 2015-008C (Revision No. 2)
January 14, 2016**

Prepared For:

American Electric Power Company
1 Riverside Plaza
Columbus, Ohio 43215

Prepared By:

Auckland Consulting, LLC
Jacksonville, Texas

TBPE Firm Registration No. F-16721
Expires 2/29/2016

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1.0 Introduction and Embankment Information

1.1 Introduction

The following report and evaluation provides the Initial Safety Factor Assessment of the West Ash Pond, an existing CCR impoundment (as defined by 40 CFR §257.2) located at the Pirkey Power Station near Hallsville, Texas. In accordance with 40 CFR §257.73(e)(1)(i) through (iv) this initial assessment provides field and laboratory data, model outputs (detailing multiple stability conditions) and summary of safety factors for the West Ash Pond. In accordance with 40 CFR §257.73(e)(2) this report provides the Initial Safety Factor Assessment certification for the West Ash Pond.

1.2 Referenced Information and Data

Soils data, comprised of field and laboratory testing utilized in the preparation of this assessment were completed by ETTL Engineers and Consultants, Inc. and documented in the report *Pirkey Power Station, Existing Ash, Surge, Lignite and Limestone Runoff, and Landfill Stormwater Ponds Embankment Investigation, Hallsville, Texas* dated October 12, 2010. Based on a review of the provided field and laboratory data, it appears to be accurate and appropriate for use in the initial structural stability assessment of the West Ash Pond [40 CFR §257.73(e)(1)]. Furthermore, based on a recent site visit (October 2015), no modifications or elevation alterations have been made to the embankment since the referenced investigation. No additional field or laboratory activities were conducted. Soil data utilized in this evaluation is provided in the Appendix of this report.

To supplement existing data collected in the above reference report, an additional eight (8) Cone Penetrometer Test (CPT) soundings were advanced both along the crest and southern toe of the West Ash Pond. Sounding depths ranged between approximately 14 feet and 40 feet before encountering refusal. The data collected generally supports the findings from the 2010 study and confirms that embankment and foundation soils are relatively consistent. The location of and data from these CPT soundings are provided in the Appendix of this report.

The impoundment pool elevation data cited herein were provided in a separate hydrology and hydraulic (H&H) analysis report completed by Akron Consulting, LLC titled *Hydrology & Hydraulic Report, East & West Ash Ponds, H.W. Pirkey Power Plant – Hallsville, Texas*, dated December 15, 2015 (not included herein). The referenced report generally meets the demonstration requirements of 40 CFR §257.82(a).

Embankment profile dimensions and elevations were determined by using existing information provided by the client or representatives of the client. This information is also included in the Appendix of this report.

1.3 Embankment Evaluation Criteria

Based on information provided by the client, the existing embankment is constructed of lean clay (CL) and fat clay (CH) with existing side slopes (both up- and downstream) of approximately 3:1 (H:V), maximum embankment height of 20 feet and top of dam elevation of 358.0 feet (MSL). The upstream base elevation of the impoundment is approximately 347.0 feet (MSL). The crest width of the embankment is approximately 25 feet. Two (2) critical sections were evaluated for this initial safety factor assessment. Section No. 1 represents the northwest corner of the West Ash embankment and Section No. 2 represents the southern berm of the embankment.

It is our understanding that the maximum storage elevation of impounded CCR ash is 355.0 feet (MSL); however, the facility is managed to maintain an ash level less than this maximum level. The downstream toe of the West Ash Pond is not adjacent to other water bodies and therefore not subject to 40 CFR §257.73(d)(1)(A)(3)(vii).

In accordance with 40 CFR §257.73(e)(1)(i) and (ii), the maximum storage pool elevation for the West Ash Pond as determined by the 25-year, 24-hour storm event is 354.81 feet (MSL). For the purposes of this evaluation, the maximum storage pool elevation of 355.0 feet (MSL) was utilized. Likewise, the maximum (or flood) surcharge loading elevation as determined by the 100-year, 24-hour event is 355.01 feet (MSL), for this evaluation a maximum surcharge loading elevation of 355.0 feet (MSL) was utilized. Storage pool elevations were determined in accordance with 40 CFR §257.82(a).

2.0 Slope Stability Analyses

2.1 General

Soil parameters used for stability analyses of the existing embankment are based on findings of previous laboratory and field testing programs. The probable failure planes were analyzed using the analytical slope stability software, SLIDE by Rocscience, Inc. Methods of evaluation used in SLIDE are considered to be limited equilibrium methods of analysis, where each individual shear plane is evaluated to determine the resulting shear stress at the point of failure. For the purposes of this evaluation the Bishop Method of analysis, which analyzes circular failure planes through the slope was utilized.

Per 40 CFR §257.73(e)(1)(i) through (iii), three (3) modeled scenarios (presented below) were utilized to evaluate the stability of the existing embankment: steady state seepage (long term) condition under maximum storage pool, steady state seepage (long term) condition under maximum surcharge pool, and steady state seepage condition with seismic loading under maximum storage pool conditions. The following minimum factors of safety (FS) and soil stress parameters were utilized in modeling. Minimum factors of safety are based on demonstration requirements provided in 40 CFR §257.73(e)(1).

Summary of Embankment Condition and Factor of Safety		
Embankment Condition	Soil Parameters	Minimum Factor of Safety
Steady State Seepage – Maximum Pool	Effective Stress	1.50
Steady State Seepage – Surcharge Pool	Effective Stress	1.40
Steady State Seepage (Seismic) – Maximum Pool	Total Stress	1.00

NOTE: Minimum factors of safety based on demonstration requirements provided in 40 CFR §257.82 (e)(1).

For evaluation of steady state seepage (long term) conditions with seismic, peak ground acceleration for this location was obtained from the USGS National Seismic Hazard Mapping Project (<http://earthquake.usgs.gov/hazards>). Based on the seismic survey data, the anticipated site specific peak ground acceleration (PGA) of 0.06g (acceleration at rock sites) for two (2) percent probability of exceedance in 50 years (40 CFR Part 257, Preamble page 21384). Correcting for acceleration at soft soil sites (Seismic Site Classification D) yields an estimated PGA of 0.13g. The seismic coefficient (k) used for pseudo static analysis is determined by reducing the estimated PGA by 50% yielding a seismic coefficient of 0.065g.

2.2 Liquefaction Assessment

Liquefaction of soils occurs when horizontal shearing stresses exceed the strength of existing loose, saturated sand. This sudden loss of shear strength and subsequent soil structure is typically associated with earthquake-induced horizontal movement. Recent engineering publications¹ provide criteria to assess liquefaction potential of sands (little to no fines) and clayey soils of low plasticity (e.g. clayey sands, silts). These criteria indicate that water content of fine-grained or cohesive soils needs to be high ($\geq 0.85 * \text{Liquid Limit [LL]}$), a clay fine content (defined as grains smaller than 0.002 mm) of less than 10 percent (< 10%), and relatively low soil density (assessed in terms of SPT blow counts). In addition, the accepted minimum seismic threshold acceleration to cause liquefaction in loose sands is 0.10g, the anticipated site specific PGA for this site is 0.06g.

Native fine grained (or cohesive) material underlying the West Ash Pond generally consist of medium dense to very dense clayey sand (SC), clayey gravel (GC) and silty clayey sand (SC-SM) Based on these soil characteristics and that the West Ash Pond is located in a zone of low peak ground acceleration (PGA), the risk of either embankment or underlying soils liquefying are negligible [40 CFR §257.73(e)(1)(iv)].

¹ Seed, R.B., et al, Recent Advances in Soil Liquefaction Engineering: A Unified and Consistent Framework, 26th Annual ASCE Los Angeles Spring Seminar, April 2003

2.3 Embankment and Foundation Stratigraphy

The models developed for this evaluation are based on the existing embankment geometry, results of field and laboratory testing and hydrologic site information provided by the client and recent field activities. Selection of critical slope sections (Section Nos. 1 and 2) was based on both height and subsurface sensitivity to loading. The following tables provide a summary of soil parameters used for these analyses. Specific soil parameters used for each model are presented in the Appendix.

Summary of Long Term, Total Stress Soil Parameters, Section No. 1:			
Material Type	Unit Weight (pcf)	Consolidated-Undrained Cohesion (psf)	Consolidated-Undrained Angle of Internal Friction (degrees)
Embankment Fill	125	450	12
Lean Clay/Fat Clay (CL/CH)	125	530	13
Silty Sand/Clayey Sand (SM/SC)	125	0	28
Ash	100	0	30

NOTE: Properties used for Steady State Seepage with Seismic analysis.

Summary of Long Term, Effective Stress Soil Parameters, Section No. 1			
Material Type	Unit Weight (pcf)	Consolidated-Drained Cohesion (psf)	Consolidated-Drained Angle of Internal Friction (degrees)
Embankment Fill	125	590	16
Lean Clay/Fat Clay (CL/CH)	125	320	17
Silty Sand/Clayey Sand (SM/SC)	125	430	28
Ash	100	0	30

NOTE: Properties used for Steady State Seepage analysis. Consolidated-drained conditions determined based on pore pressure measurements made during Consolidated-Undrained (CU) triaxial testing.

Summary of Long Term, Total Stress Soil Parameters, Section No. 2			
Material Type	Unit Weight (pcf)	Consolidated-Undrained Cohesion (psf)	Consolidated-Undrained Angle of Internal Friction (degrees)
Embankment Fill	125	450	12
Lean Clay/Fat Clay (CL/CH)	125	530	13
Clayey Sand/Silty Sand (SC/SM)	125	0	28
Lean Clay (CL)	125	260	20
Ash	100	0	30

NOTE: Properties used for Steady State Seepage with Seismic analysis.

Summary of Long Term, Effective Stress Soil Parameters, Section No. 2			
Material Type	Unit Weight (pcf)	Consolidated-Drained Cohesion (psf)	Consolidated-Drained Angle of Internal Friction (degrees)
Embankment Fill	125	590	16
Lean Clay/Fat Clay (CL/CH)	125	320	17
Clayey Sand/Silty Sand (SC/SM)	125	0	28
Lean Clay (CL)	125	290	22
Ash	100	0	30

NOTE: Properties used for Steady State Seepage analysis. Consolidated-drained conditions determined based on pore pressure measurements made during Consolidated-Undrained (CU) triaxial testing.

2.4 Seepage Analysis Parameters

The analysis of embankment seepage is based on laboratory results and estimated values for permeability for various embankment and native foundation soils. These soil parameters were utilized in the models to establish a long term steady state condition and corresponding phreatic surface in the embankment. Hydraulic conductivity test results are provided in the Appendix. Hydraulic conductivity properties utilized in the seepage analysis are provided in the below table.

Hydraulic Conductivity of Embankment Soils, Section Nos. 1 and 2	
Material Type	Permeability (ft/sec)
Embankment Fill	1×10^{-8}
Lean Clay/Fat Clay (CL/CH)	1×10^{-8}
Clayey Sand/Silty Sand (SC/SM)	1×10^{-5}
Lean Clay (CL)	1×10^{-8}
Ash	1×10^{-4}

2.5 Stability Analysis Results

The following tables provides the results of the stability analysis for each of the conditions cited herein, as required by 40 CFR §257.73(e)(1)(i) through (iii). The graphical representations of each analysis are included in the Appendix.

Summary of Stability Analyses – Safety Factors, Section No. 1		
Modeled Condition	Factor of Safety	
	Actual	Minimum
Steady State Seepage – Maximum Pool	2.21	1.50
Steady State Seepage – Surcharge Pool	2.21	1.40
Steady State Seepage with Seismic – Maximum Pool	1.35	1.00

Summary of Stability Analyses – Safety Factors, Section No. 2		
Modeled Condition	Factor of Safety	
	Actual	Minimum
Steady State Seepage – Maximum Pool	1.83	1.50
Steady State Seepage – Surcharge Pool	1.83	1.40
Steady State Seepage with Seismic – Maximum Pool	1.50	1.00

Based on the findings of this analysis, the evaluated embankments appear to be stable under the modeled conditions and demonstrate the minimum safety factors, as required by 40 CFR §257.73(e)(1)(i) through (iii).

3.0 Report Limitations

This report has been prepared for the exclusive use of our client for the specific application to the project discussed and has been prepared in accordance with the generally accepted geotechnical engineering practices. No warranties, either express or implied, are intended or made. The analyses contained in the report are based on the data obtained from the referenced soil borings performed within the project site. This report does not reflect variations that may occur between borings or across the site. Soil borings do not necessarily reflect strata variations that may exist at other locations within the project site.

4.0 Initial Structural Stability Assessment Certification

By means of this certification, (i) I have reviewed the requirements of 40 CFR §257.73(e)(1) – *Periodic Safety Factor Assessments*, (ii) I or my agent has visited and examined the facility, (iii) the referenced data used in this evaluation to the best of my knowledge appears correct and appropriate for use, (iv) and this Initial Safety Factor Assessment for the West Ash Pond (Pirkey Power Station) has been prepared to the best of my knowledge in accordance with §257.73(e)(1).

By:



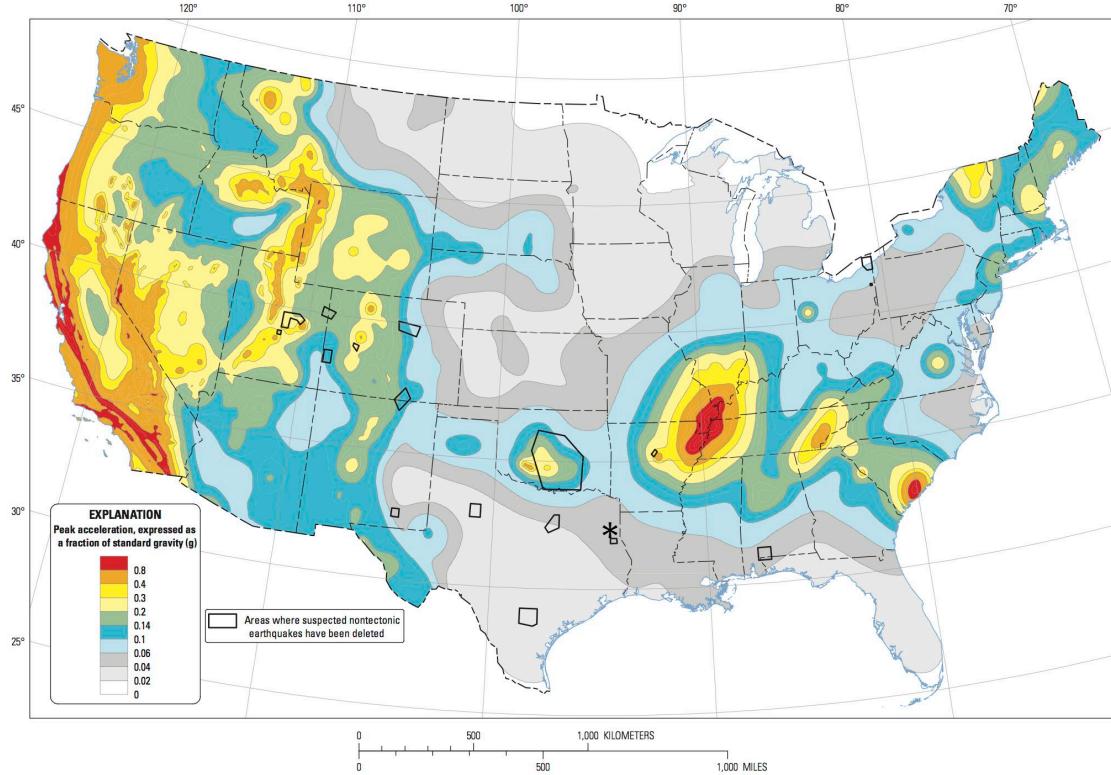
Dated: January 14, 2016



TBPE Firm Registration No. F-16721
Expires 2/29/2016

Appendix

Stability Analyses Reference Data



Two-percent probability of exceedance in 50 years map of peak ground acceleration

* Approximate location of Pirkey Power Generating Station

Provided by USGS National Seismic Hazard Mapping Project.

Seismic Probability Map

Scale: N/A

Auckland Project No. 2015-008C

**Pirkey Power Generating Station
Initial Safety Factor Assessment - West Ash Pond
Hallsville, Texas**



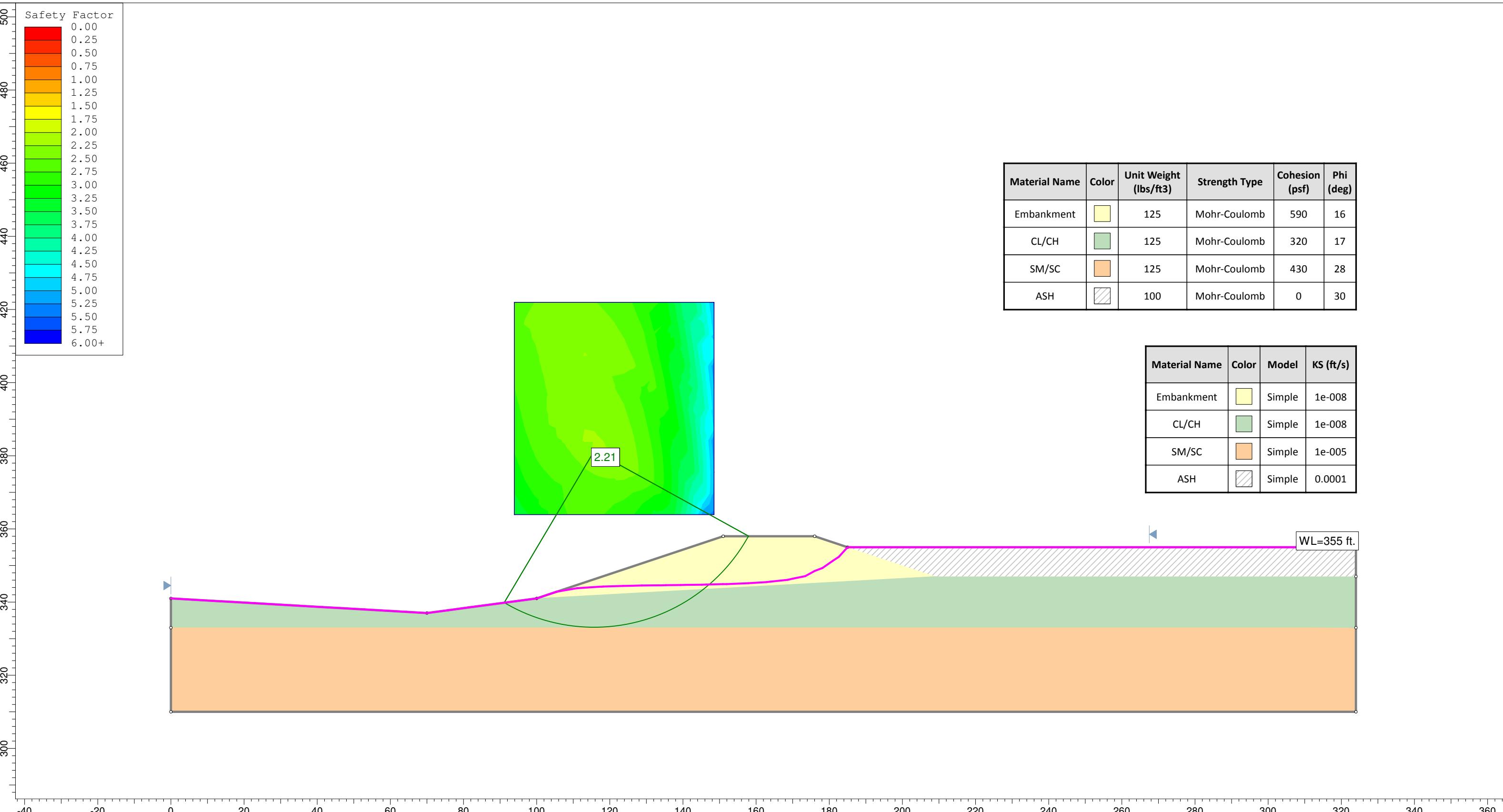
Provided by Google Earth.

Cone Penetrometer Test (CPT) Location Map

Scale: N/A

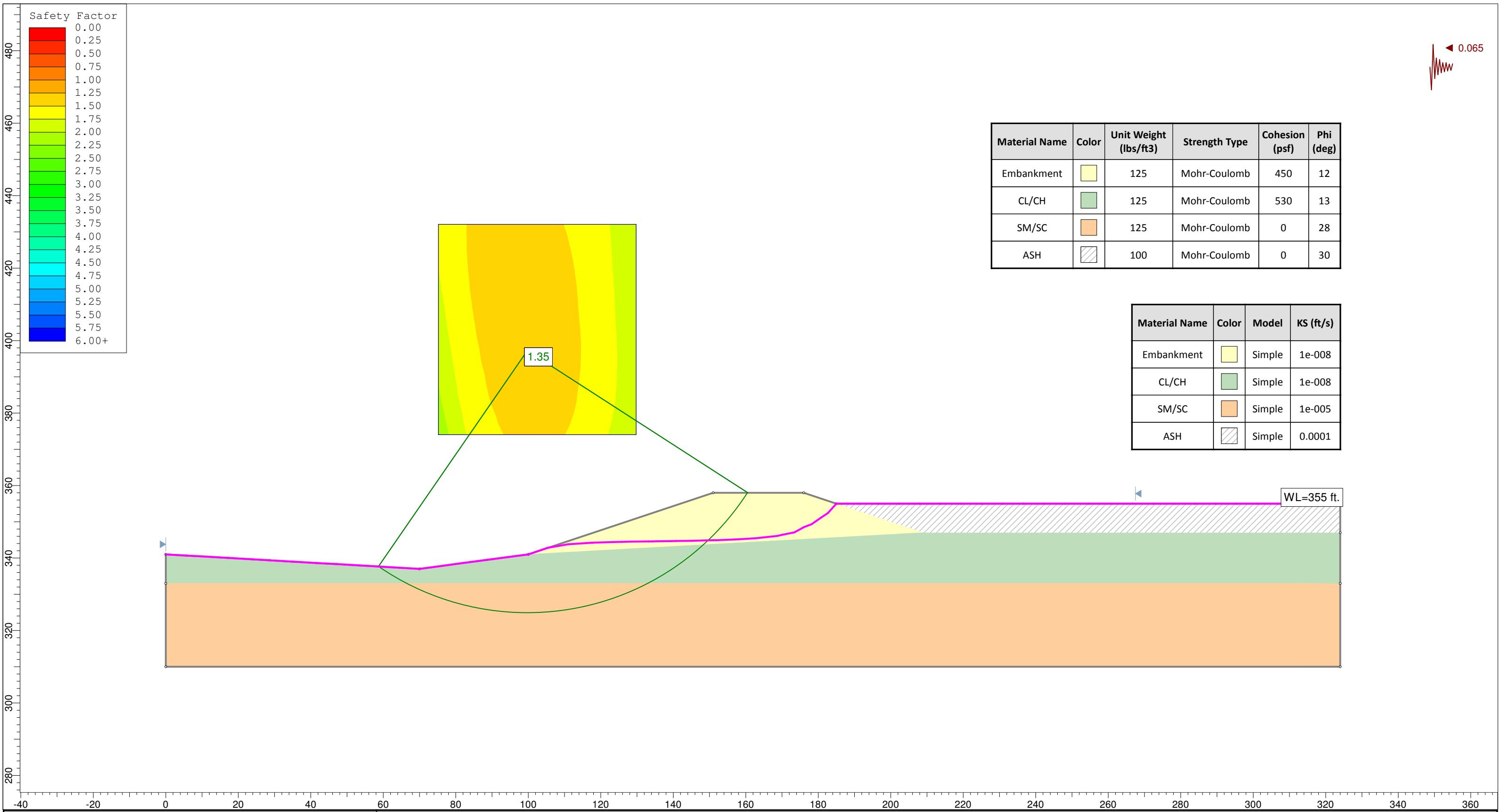
Auckland Project No. 2015-008C

**Pirkey Power Generating Station
Initial Safety Factor Assessment - West Ash Pond
Hallsville, Texas**



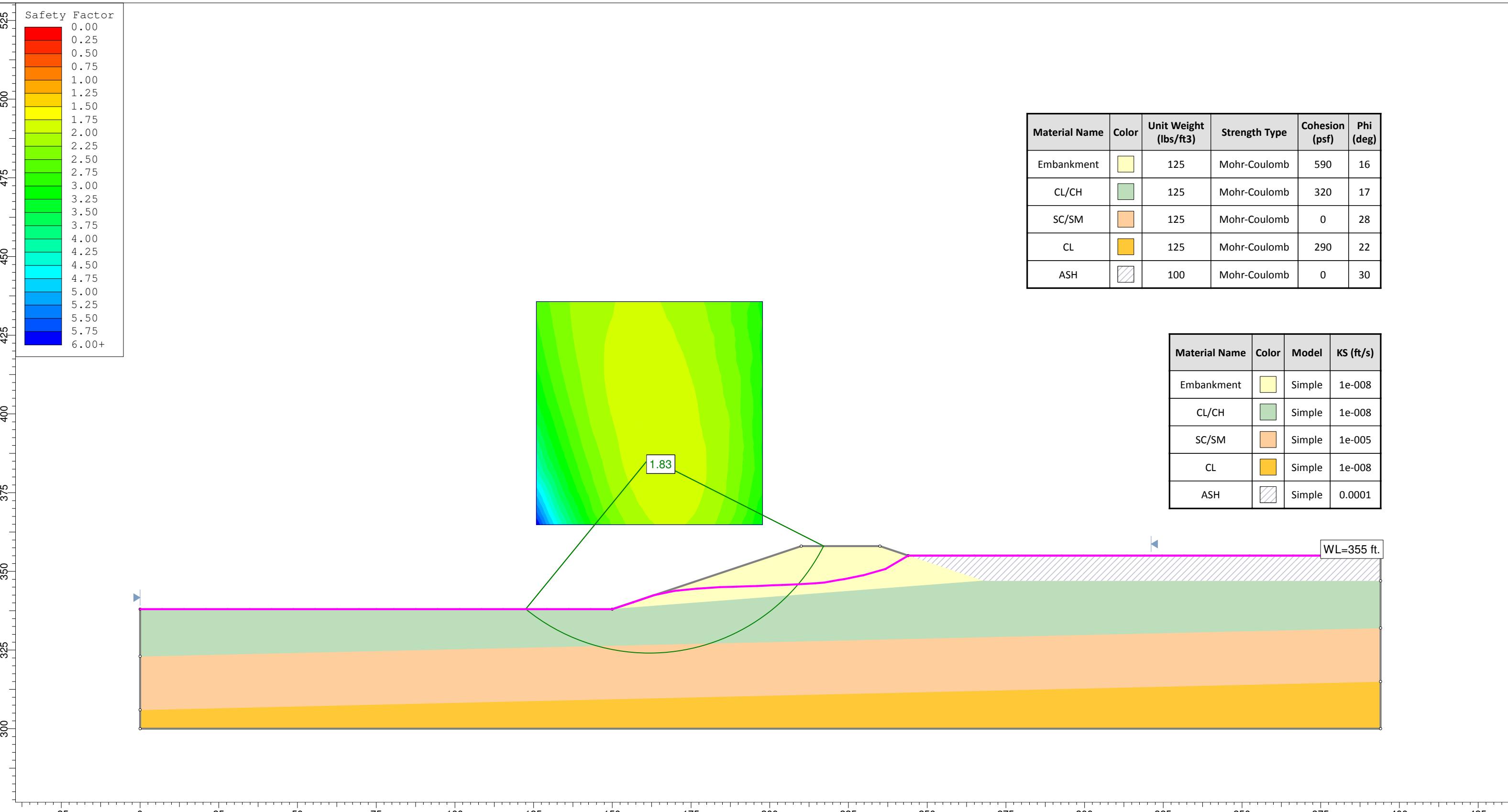
Auckland Consulting LLC
PO Box 8155
Jacksonville, Texas 75766

<i>Project</i>		Pirkey Power Station - West Ash Pond, Section No. 1	
<i>Analysis Description</i>		Steady State Seepage at Maximum and Surcharge Pool	
<i>Drawn By</i>	JJT	<i>Company</i>	
<i>Date</i>	12/15/2015	<i>File Name</i>	WEST ASH_Section 1_SSS_25yr.slim



Auckland Consulting LLC
PO Box 8155
Jacksonville, Texas 75766

Project	Pirkey Power Station - West Ash Pond, Section No. 1		
Analysis Description	Steady State Seepage at Maximum Pool, Seismic Analysis		
Drawn By	JJT	Company	
Date	12/23/2015	File Name	WEST ASH_Section 1_SSS_25yr_seismic.slim



Auckland Consulting LLC
PO Box 8155
Jacksonville, Texas 75766

Project

Pirkey Power Station - West Ash Pond, Section No. 2

Analysis Description

Steady State Seepage at Maximum and Surcharge Pool

Drawn By

JJT

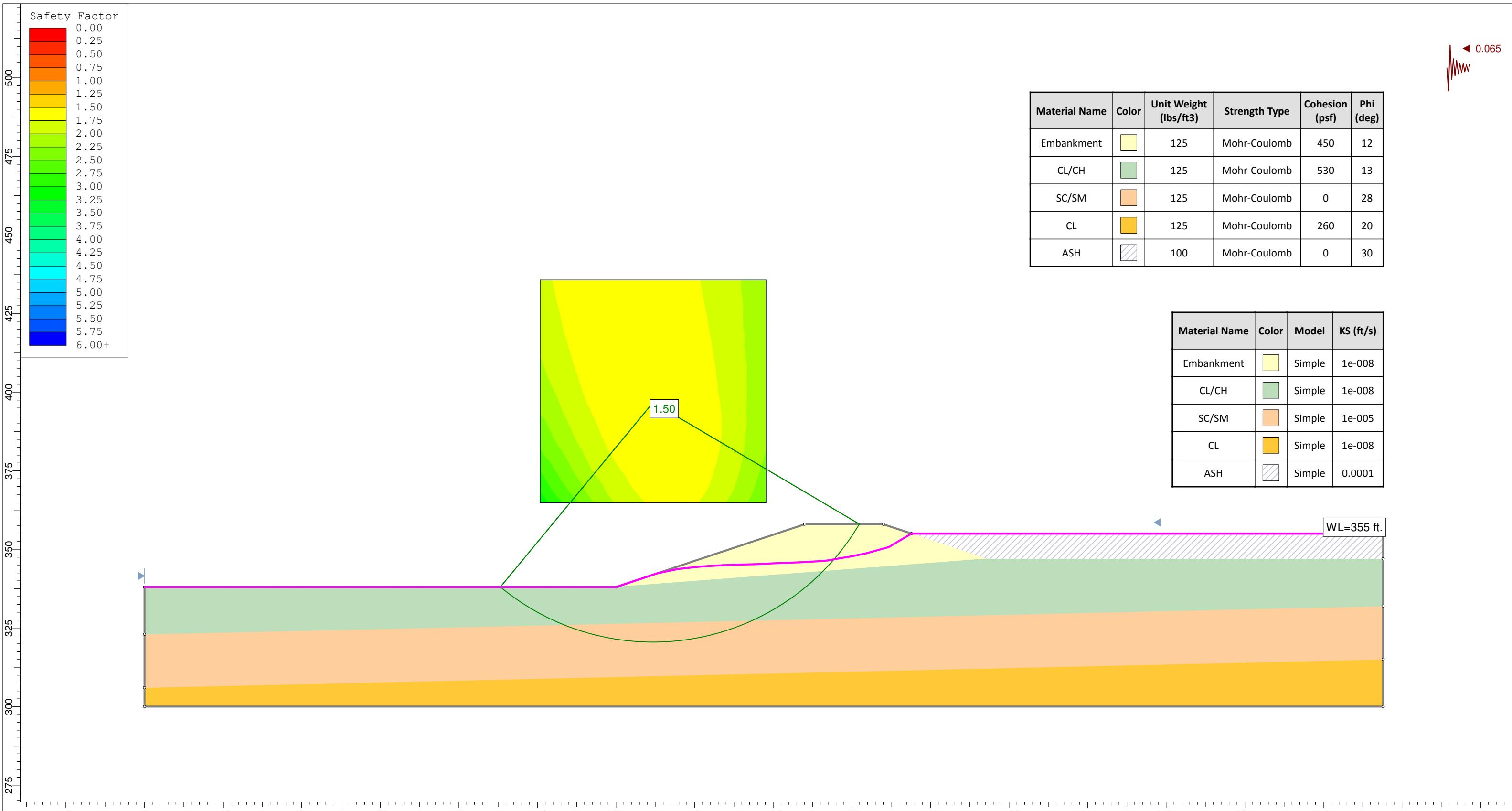
Company

Date

12/15/2015

File Name

WEST ASH_Section 2_SSS_25yr.slim



Auckland Consulting LLC
PO Box 8155
Jacksonville, Texas 75766

Project

Pirkey Power Station - West Ash Pond, Section No. 2

Analysis Description

Steady State Seepage at Maximum Pool, Seismic Analysis

Drawn By

JJT

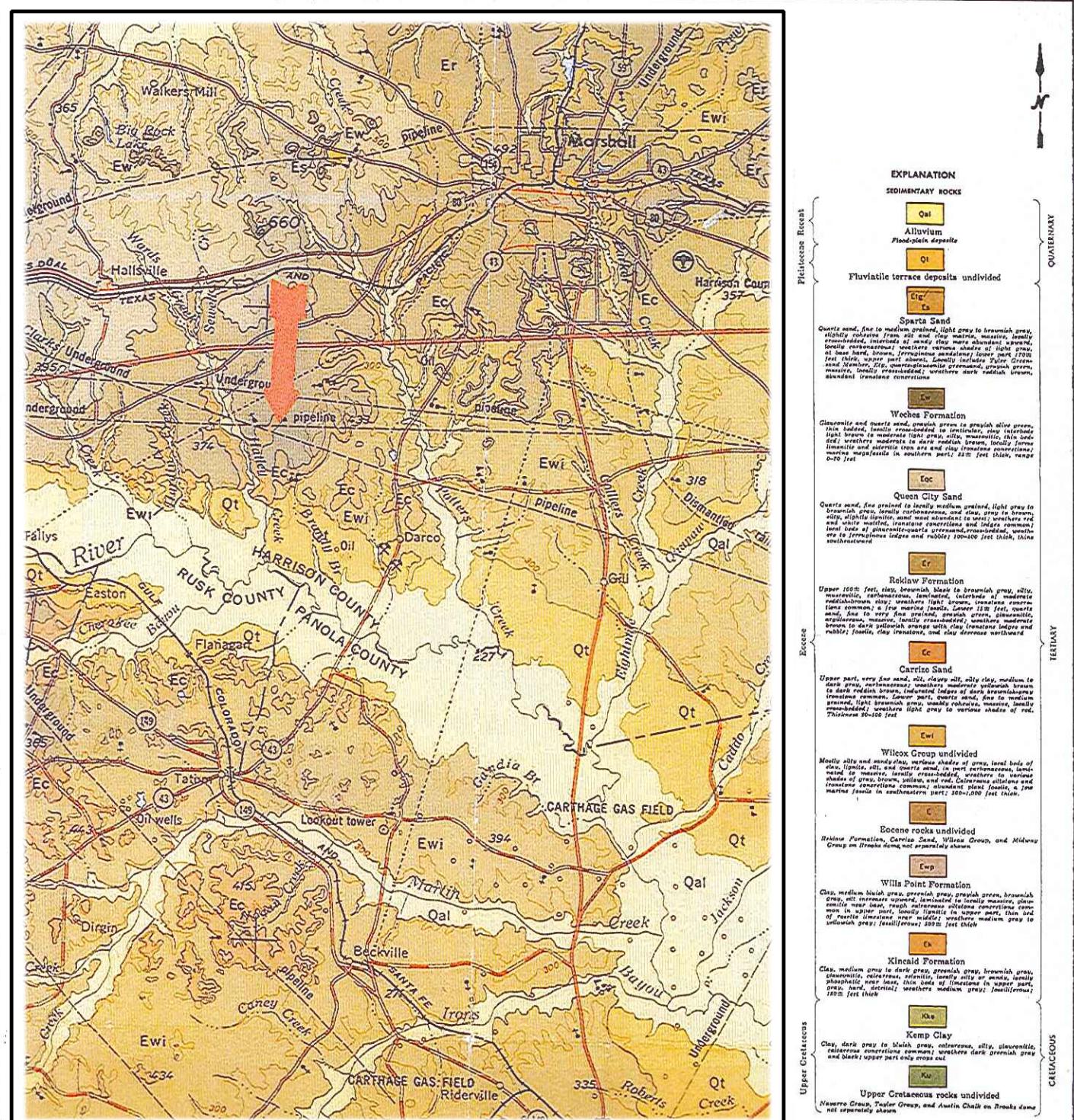
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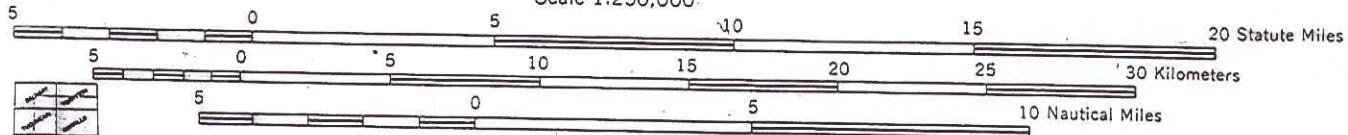
12/23/2015

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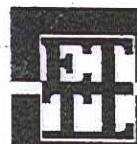
WEST ASH_Section 2_SSS_25yr_seismic.slim



Scale 1:250,000



CONTOUR INTERVAL 100 FEET
WITH SUPPLEMENTARY CONTOURS AT 50 FOOT INTERVALS



**ETTL
ENGINEERS &
CONSULTANTS**

MAIN OFFICE
1717 East Brink
Tucson, Tucson 760-0222

PLATE 2
SITE
SURFACE
GEOLOG

JOB No.: G3241-095

DATE: DEC. 2009

SCALE: 1:250,000

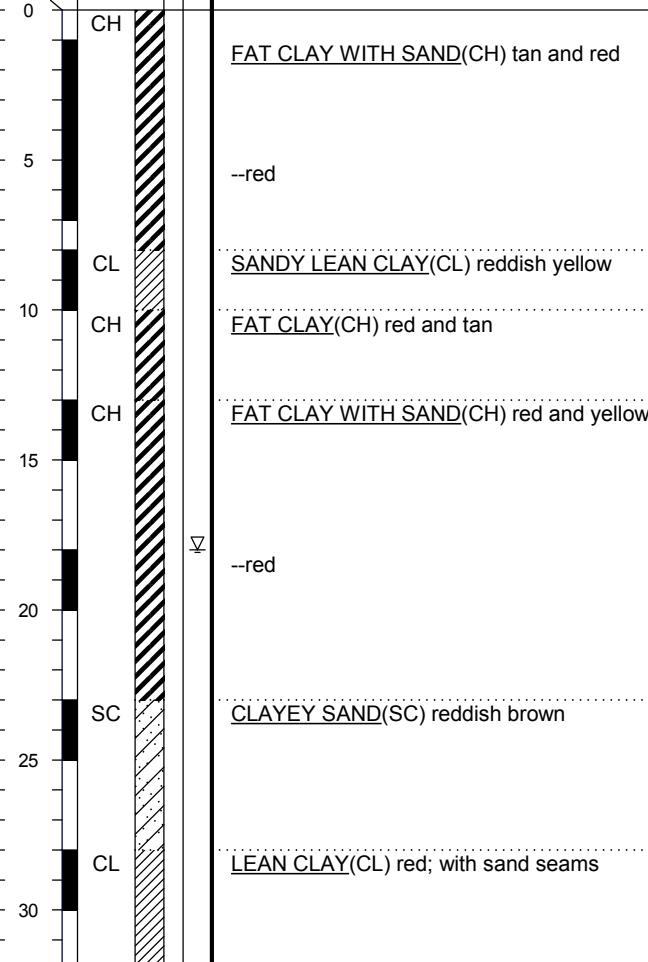


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ENGINEERS &
CONSULTANTS**

MAIN OFFICE
1717 East Erwin
Tyler, Texas 75702
(903) 595-4421

SAMPLES	USC	GEOLOGIC UNIT
DEPTH (ft)		WATER LEVEL
0		

MATERIAL DESCRIPTION



Water Level

Est.:

Measured:

Perched:

Water Observations:

Seepage @ 18' while drilling.

LOG OF BORING W-1

PROJECT: Pirkey Power Plant
Hallsville, Texas

PROJECT NO.: G3241-095

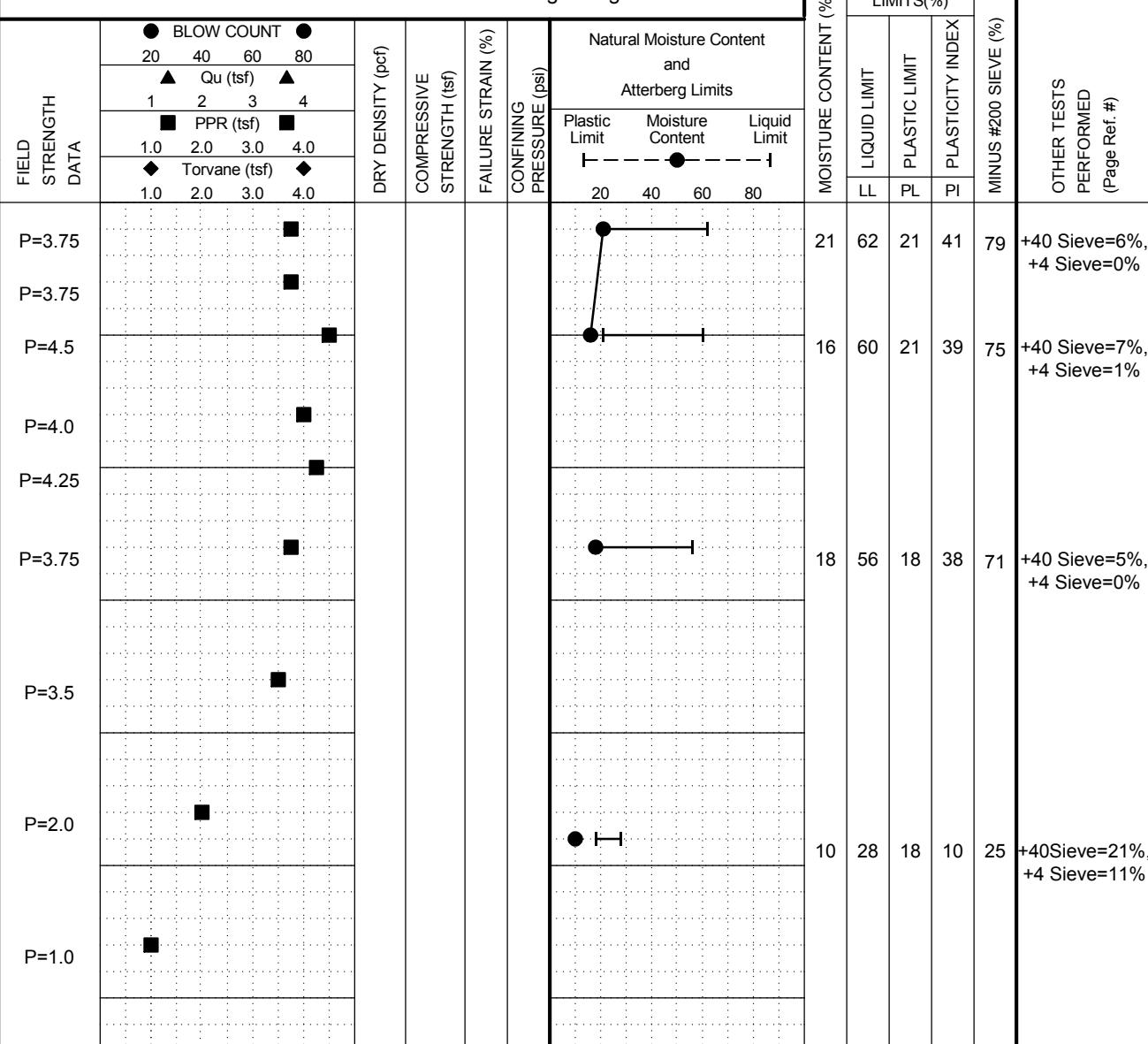
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DATE

10/20/09

SURFACE ELEVATION

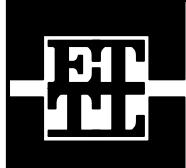
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Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Torvane (tsf)
- L - Lab Vane Shear (tsf)

Notes:

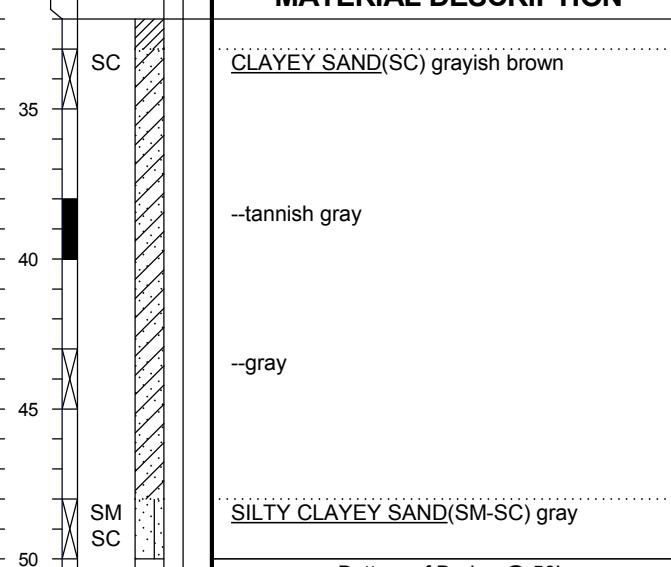


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CONSULTANTS**

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1717 East Erwin
Tyler, Texas 75702
(903) 595-4421

DEPTH (ft)	SAMPLES	USC	GEOLOGIC UNIT	WATER LEVEL
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MATERIAL DESCRIPTION



W

E

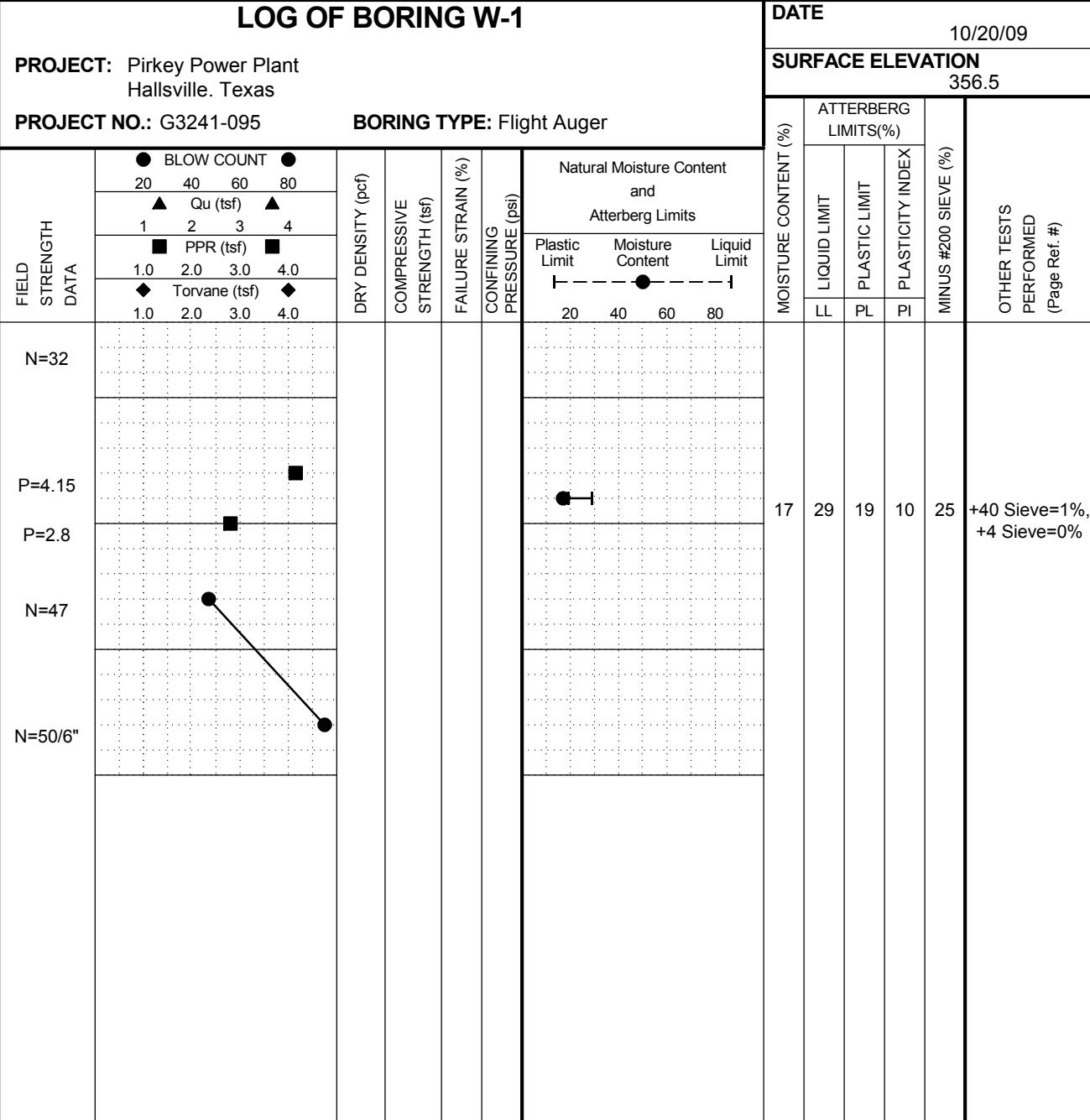
M

P

P

Water Observations:

Seepage @ 18' while drilling.



Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Torvane (tsf)
- L - Lab Vane Shear (tsf)

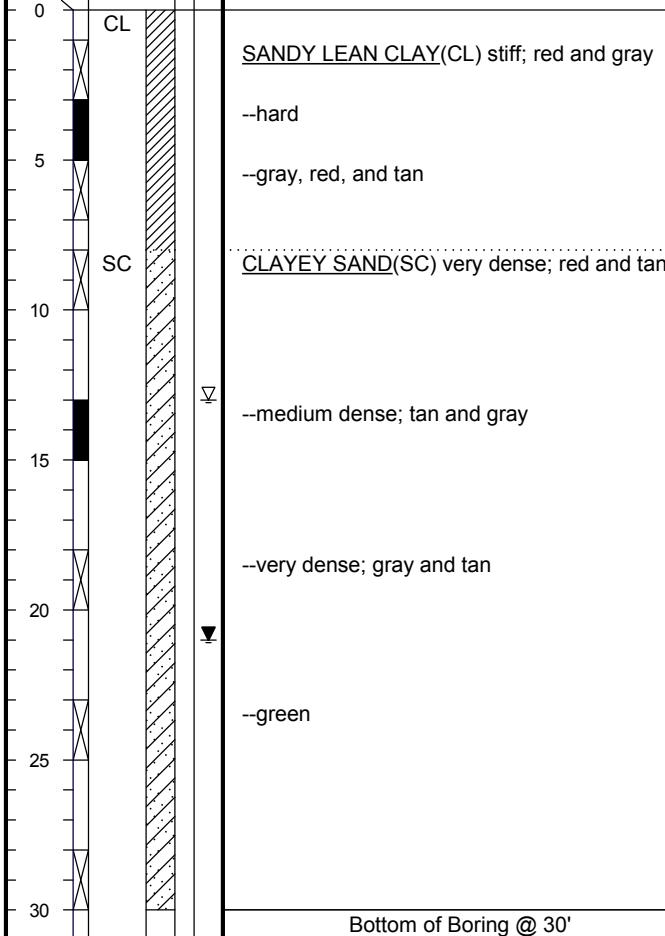


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1717 East Erwin
Tyler, Texas 75702
(903) 595-4421

SAMPLES	USC	GEOLOGIC UNIT	WATER LEVEL
0 DEPTH (ft)			

MATERIAL DESCRIPTION



Water Level

Est.:

Measured:

Perched:

Water Observations:

Seepage @ 13' while drilling. Water level
@ 21' and open upon completion.

LOG OF BORING W-2

PROJECT: Pirkey Power Plant
Hallsville, Texas

PROJECT NO.: G3241-095

BORING TYPE: Flight Auger

DATE

10/21/09

SURFACE ELEVATION

341.7

FIELD STRENGTH DATA	DRY DENSITY (pcf)	COMPRESSIVE STRENGTH (tsf)	FAILURE STRAIN (%)	CONFINING PRESSURE (psi)	NATURAL MOISTURE CONTENT AND ATTERBERG LIMITS (%)			MOISTURE CONTENT (%)	ATTERBERG LIMITS (%)			MINUS #200 SIEVE (%)	OTHER TESTS PERFORMED (Page Ref. #)
					BLow COUNT 20 40 60 80	Qu (tsf) 1 2 3 4	PPR (tsf) 1.0 2.0 3.0 4.0		PLASTIC LIMIT LL	Moisture Content PL	Liquid Limit PI		
N=9	●												
P=4.5+													
N=50		■											
N=50/5"													
P=1.5		■											
N=62													
N=50/5"													
N=56													

Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Torvane (tsf)
- L - Lab Vane Shear (tsf)

Notes:

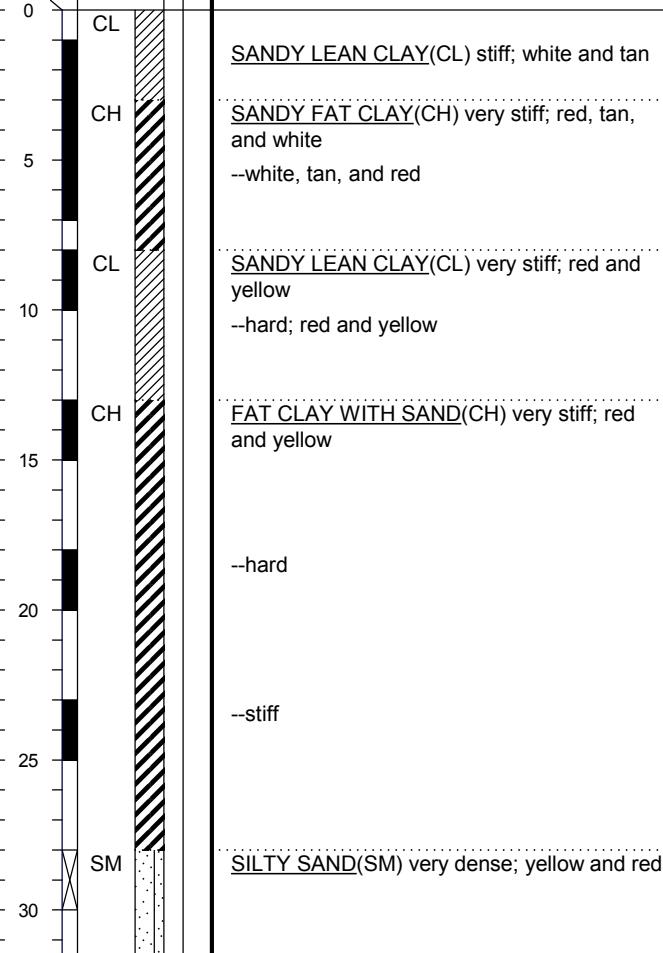


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SAMPLES	USC	GEOLOGIC UNIT	WATER LEVEL
0			

MATERIAL DESCRIPTION



Water Level

Est.:

Measured:

Perched:

Water Observations:

Seepage @ 34' while drilling.

LOG OF BORING W-3

PROJECT: Pirkey Power Plant
Hallsville, Texas

PROJECT NO.: G3241-095

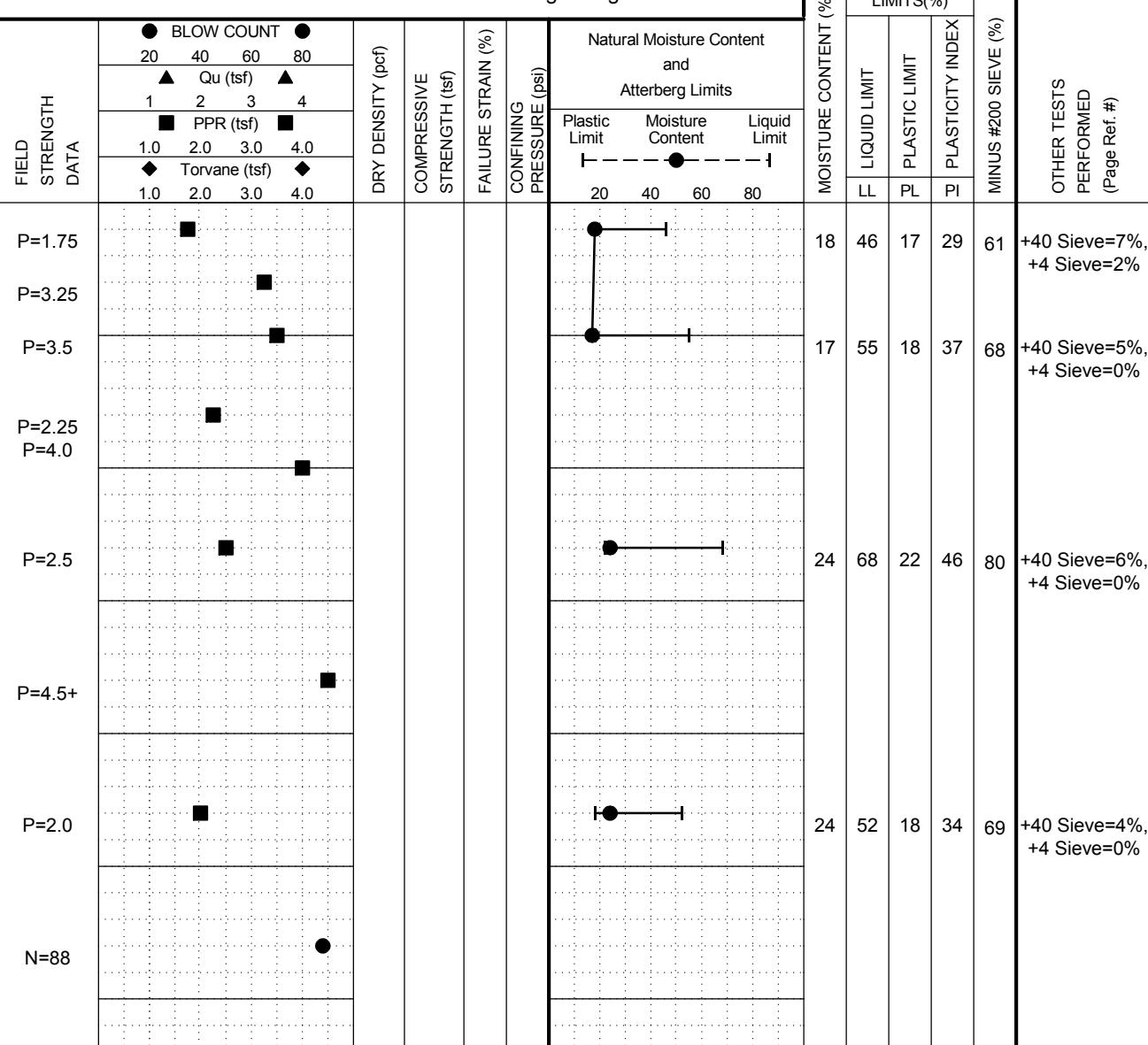
BORING TYPE: Flight Auger

DATE

10/20/09

SURFACE ELEVATION

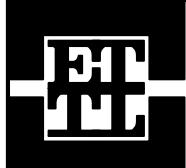
356.3



Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Tornave (tsf)
- L - Lab Vane Shear (tsf)

Notes:

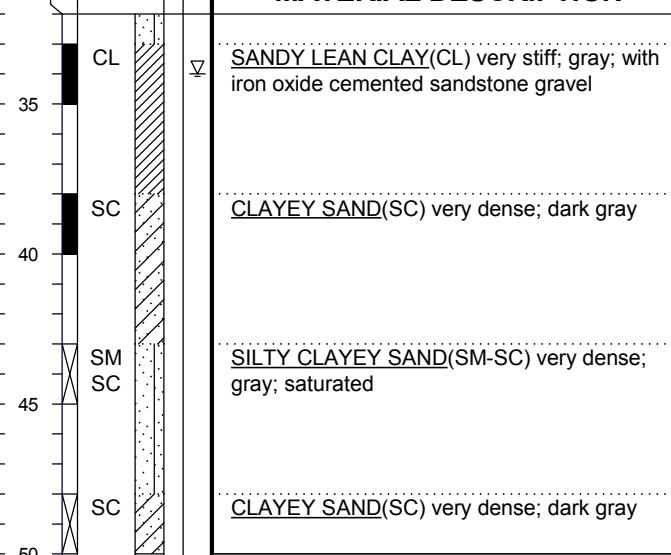


**ETTL
ENGINEERS &
CONSULTANTS**

MAIN OFFICE
1717 East Erwin
Tyler, Texas 75702
(903) 595-4421

DEPTH (ft)	SAMPLES	USC	GEOLOGIC UNIT	WATER LEVEL
------------	---------	-----	---------------	-------------

MATERIAL DESCRIPTION



Water Level Est.: Measured: Perched:

Water Observations:

Seepage @ 34' while drilling.

LOG OF BORING W-3

PROJECT: Pirkey Power Plant
Hallsville, Texas

PROJECT NO.: G3241-095

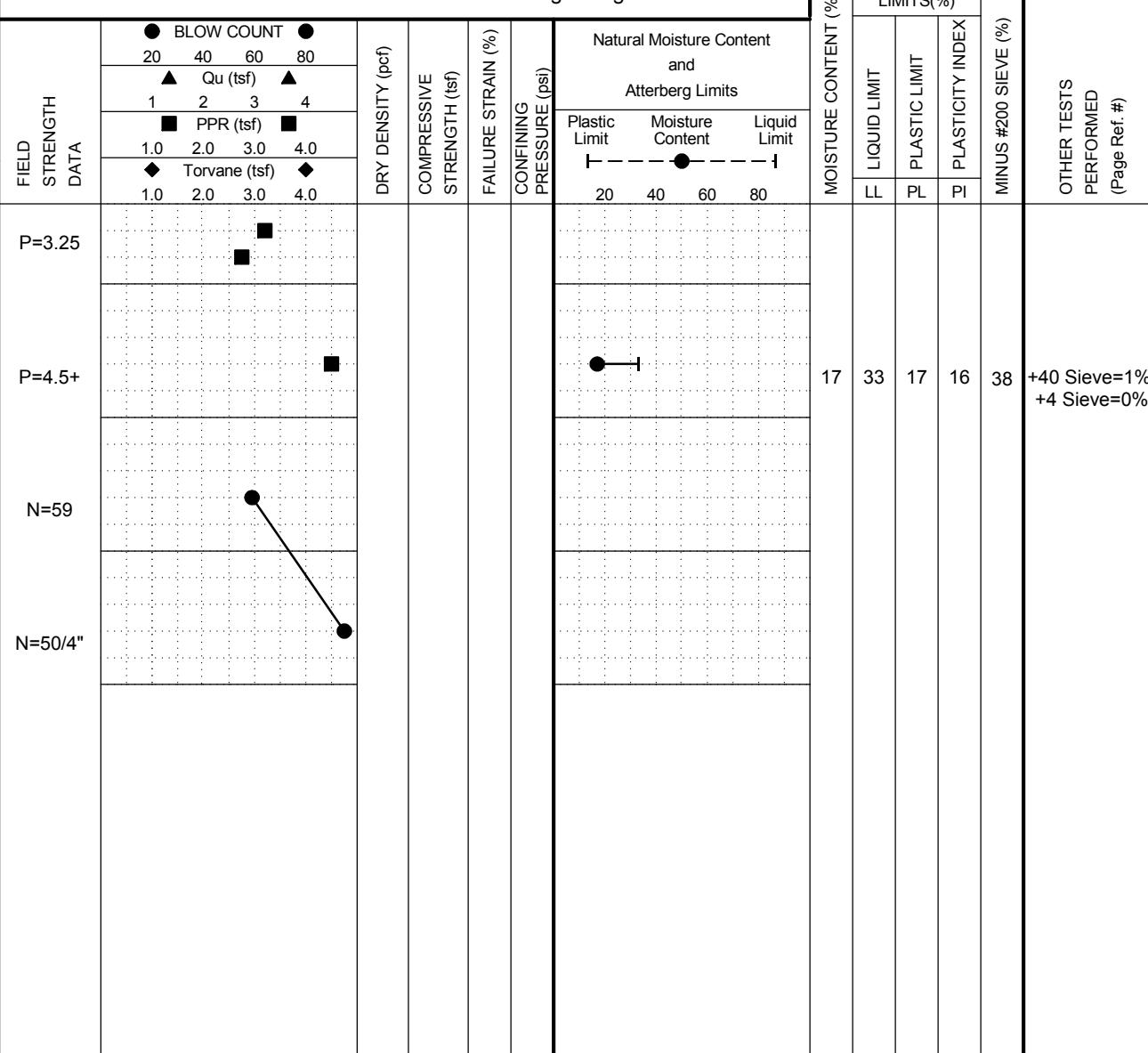
BORING TYPE: Flight Auger

DATE

10/20/09

SURFACE ELEVATION

356.3



Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Torvane (tsf)
- L - Lab Vane Shear (tsf)

Notes:

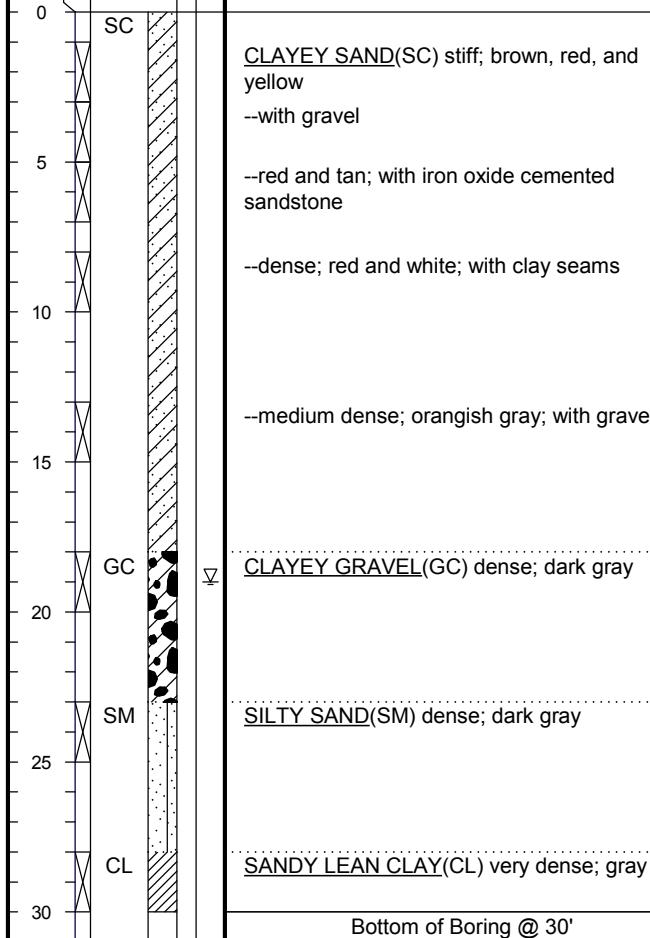


**ETTL
ENGINEERS &
CONSULTANTS**

MAIN OFFICE
1717 East Erwin
Tyler, Texas 75702
(903) 595-4421

DEPTH (ft)	SAMPLES	USC	GEOLOGIC UNIT	WATER LEVEL
0				

MATERIAL DESCRIPTION



Water Level

Est.:

Measured:

Perched:

Water Observations:

Seepage @ 19' while drilling.

LOG OF BORING W-4

PROJECT: Pirkey Power Plant
Hallsville, Texas

PROJECT NO.: G3241-095

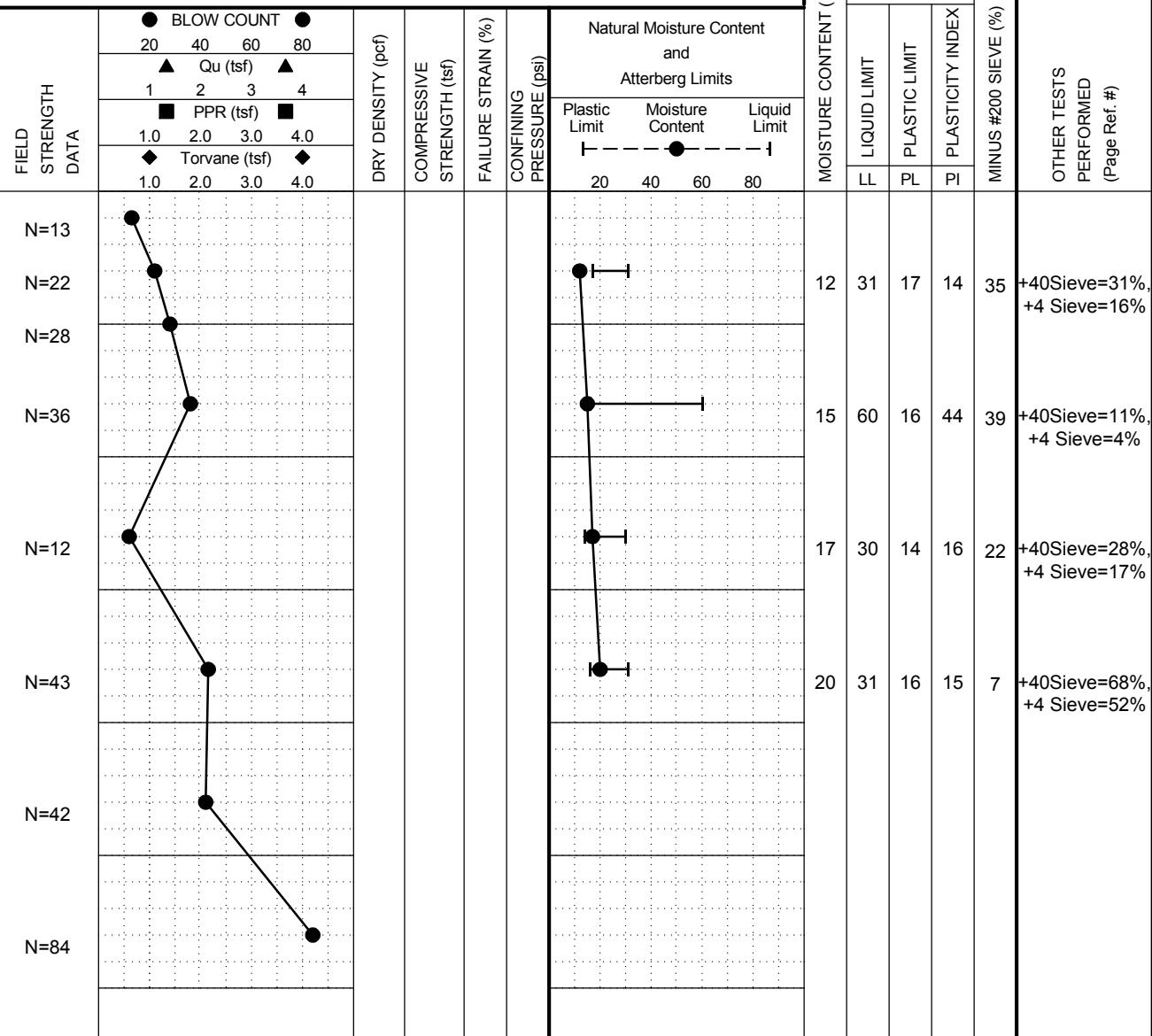
BORING TYPE: Flight Auger

DATE

10/20/09

SURFACE ELEVATION

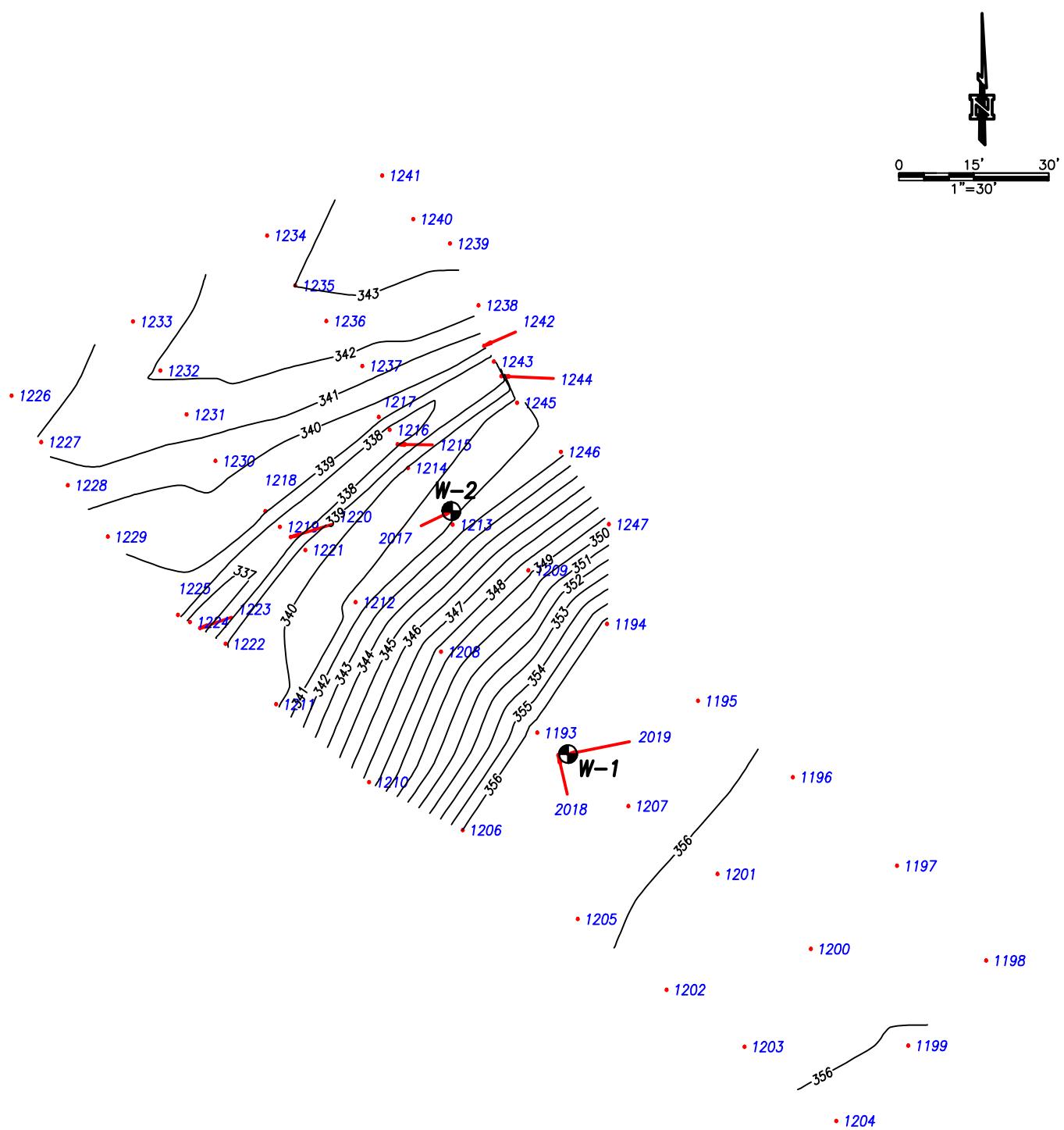
338.0



Key to Abbreviations:

- N - SPT Data (Blows/Ft)
- P - Pocket Penetrometer (tsf)
- T - Torvane (tsf)
- L - Lab Vane Shear (tsf)

Notes:

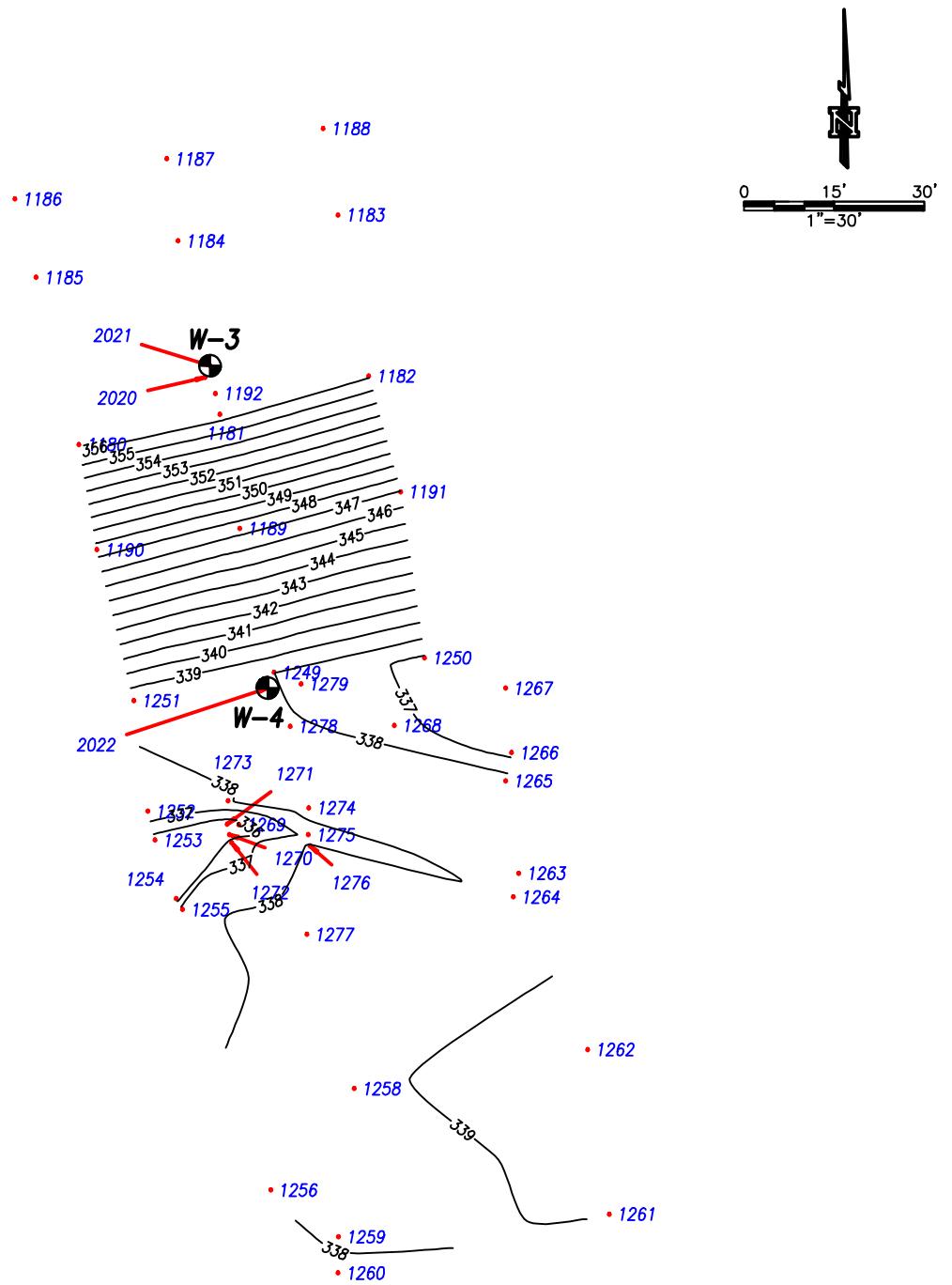


**JOHNSON & PACE INCORPORATED
ENGINEERING • ARCHITECTURE • SURVEYING**

1201 NW LOOP 281, LB1, LONGVIEW, TEXAS 75604
(903)753-0663 FAX (903)753-8803
website: www.johnsonpace.com
JOB NO: 2313-013 FIELD BOOK: XXX/XXX DATE: NOV. 2009

EXHIBIT "I"

**W-1 AND W-2 BOREHOLES
PIRKEY POWER PLANT
HALLSVILLE, TEXAS**



JOHNSON & PACE INCORPORATED
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1201 NW LOOP 281, LB1, LONGVIEW, TEXAS 75604

(903)753-0663 FAX (903)753-8803

website: www.johnsonpace.com

JOB NO:2313-013 FIELD BOOK: XXX/XXX DATE:NOV. 2009

EXHIBIT "J"

W-3 AND W-4 BOREHOLES
PIRKEY POWER PLANT
HALLSVILLE, TEXAS



ETTL Engineers & Consultants Inc.

GEOTECHNICAL * MATERIALS * ENVIRONMENTAL * DRILLING * LANDFILLS

HYDRAULIC CONDUCTIVITY DETERMINATION FLEXIBLE WALL PERMEAMETER - CONSTANT VOLUME (Mercury Perrometer Test)

Project : Pirkey Power Plants Embankments, Hallsville, Texas

Date: 11/3/2009 Panel Number : P 2 ; ASTM D 5084

Project No. : G 3241-09 Permometer Data

Boring No.: W - 2	ap = 0.031416 cm ²	Set Mercury to Dinit Bn at	Equilibrium	1.8	cm ³
Sample:	aa = 0.767120 cm ²	Pipet Rp		6.7	cm ³
Depth (ft): 13' to 16'	M1 = 0.030180	C = 0.00043252	Annulus Ra	1.5	cm ³
Other Location:	M2 = 1.040953	T = 0.203782344			

Material Description : Tan & Gray Clayey Sand

SAMPLE DATA

Wet Wt. sample + ring or tare :	571.51 g	Before Test	After Test
Tare or ring Wt. :	0.0 g	Tare No. : T 13	Tare No. : T 16
Wet Wt. of Sample :	571.51 g	Wet Wt.+tare: 660.71	Wet Wt.+tare: 733.72
Diameter : 2.78 in	7.06 cm ²	Dry Wt.+tare: 588.03	Dry Wt.+tare: 625.10
Length : 2.78 in	7.06 cm	Tare Wt: 219.71	Tare Wt: 151.95
Area: 6.06 in ²	39.10 cm ²	Dry Wt.: 368.32	Dry Wt.: 473.15
Volume : 16.85 in ³	276.12 cm ³	Water Wt.: 72.68	Water Wt.: 108.62
Unit Wt.(wet): 129.15 pcf	2.07 g/cm ³	% moist.: 19.7	% moist.: 23.0
Unit Wt.(dry): 107.87 pcf	1.73 g/cm ³		
Specific Gravity: 2.80	Max Dry Density(pcf) = 107.9147	OMC = 19.732841	
	% of max = 100.0	+/- OMC = 0.00	
Calculated % saturation: 103.59	Void ratio (e) = 0.62	Porosity (n)= 0.38	

TEST READINGS

Z1(Mercury Height Difference @ t1): 5.1 cm Hydraulic Gradient = 9.12

Date	elapsed t (seconds)	Z (pipet @ t)	$\Delta Z \pi$ (cm)	temp (deg C)	α (temp corr)	k (cm/sec)	k (ft./day)	Reset = *
11/3/2009	76	4.5	2.1571965	24.5	0.899	2.96E-06	8.40E-03	
11/3/2009	106	4	2.6571965	24.5	0.899	2.86E-06	8.11E-03	
11/3/2009	140	3.5	3.1571965	24.5	0.899	2.87E-06	8.12E-03	
11/3/2009	182	3	3.6571965	24.5	0.899	2.92E-06	8.29E-03	

SUMMARY

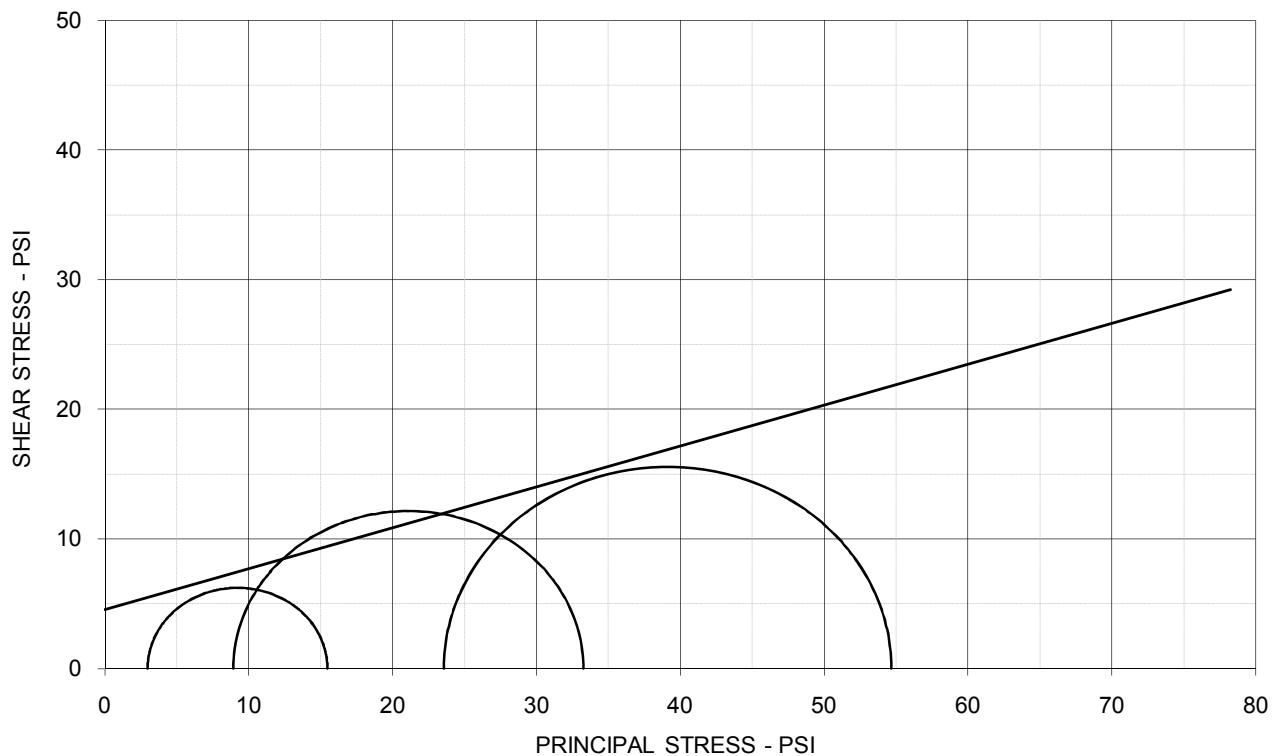
ka = 2.90E-06 cm/sec	Acceptance criteria = 25 %
<u>ki</u>	<u>Vm</u>
k1 = 2.96E-06 cm/sec	2.1 %
k2 = 2.86E-06 cm/sec	1.4 %
k3 = 2.87E-06 cm/sec	1.3 %
k4 = 2.92E-06 cm/sec	0.7 %
Hydraulic conductivity	k = 2.90E-06 cm/sec 8.23E-03 ft/day
Void Ratio	e = 0.62
Porosity	n = 0.38
Bulk Density	γ = 2.07 g/cm ³ 129.2 pcf
Water Content	W = 0.34 cm ³ /cm ³ (at 20 deg C)
Intrinsic Permeability	kint = 2.97E-11 cm ² (at 20 deg C)

Liquid Limit LL	
Plastic Limit PL	
Plasticity Index PI	
- 200 Sieve	%
+ No 40 Sieve	%
+ No 4 Sieve	%

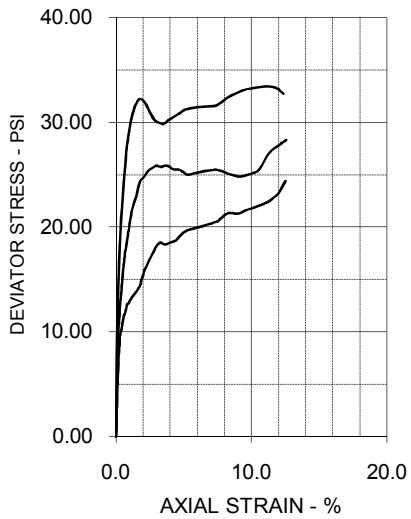
Respectfully Submitted

Robert M. Duke, P.E.

TRIAXIAL SHEAR TEST REPORT



EFFECTIVE STRESS PARAMETERS



$\phi' = 17.5$ deg

$C' = 4.6$ psi

SPECIMEN NO.	1	2	3	4
INITIAL				
Moisture Content - %	25.3	23.6	23.9	
Dry Density - pcf	96.5	100.5	101.1	
Diameter - inches	2.05	2.02	2.04	
Height - inches	3.98	4.00	3.95	
AT TEST				
Final Moisture - %	28.8	28.1	24.5	
Dry Density - pcf	96.5	102.9	104.0	
Calculated Diameter (in.)	2.07	2.01	2.03	
Height - inches	4.02	3.98	3.91	
Effect. Cell Pressure - psi	10.0	20.0	40.0	
Failure Stress - psi	12.49	24.34	31.06	
Total Pore Pressure - psi	57.0	61.1	66.4	
Strain Rate - inches/min.	0.00050	0.00050	0.00050	
Failure Strain - %	1.0	2.1	1.5	
σ_1' Failure - psi	15.49	33.26	54.65	
σ_3' Failure - psi	3.00	8.92	23.59	

TEST DESCRIPTION

TYPE OF TEST & NO: CU with PP

SAMPLE TYPE: Shelby Tube Sample

DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand

Sampled on Site, W-1 13' to 20' deep

ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 5%

LL: 56 PL: 18 PI: 38 Percent -200: 71% + # 4 Sieve 0%

REMARKS: Both Ends and Diameter Trimmed + # 4 Sieve 0%
G-3241-095, W-1 13'-20'.xls

PROJECT INFORMATION

PROJECT: Pirkey Power Plant Embankments

LOCATION: Hallsville, Texas

PROJECT NO: G 3241 - 095

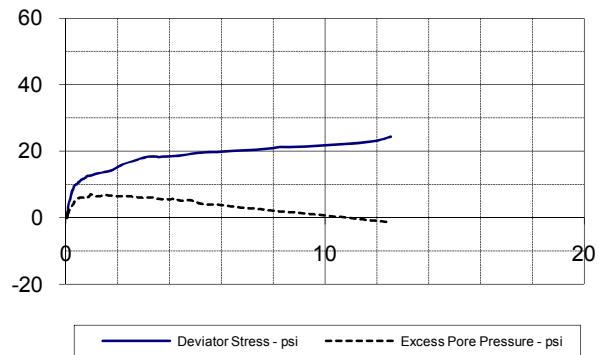
CLIENT:

November 2009

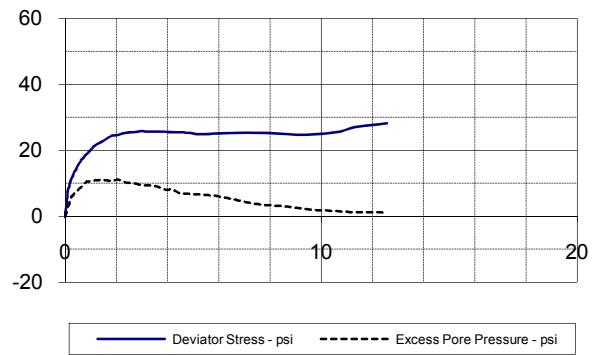
ETTL ENGINEERS & CONSULTANTS

PLATE: B.1

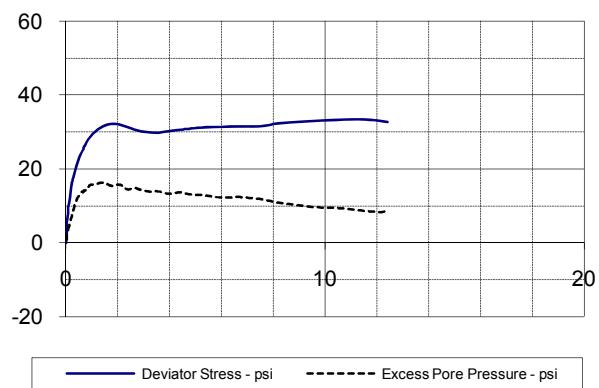
SPECIMEN NO. 1



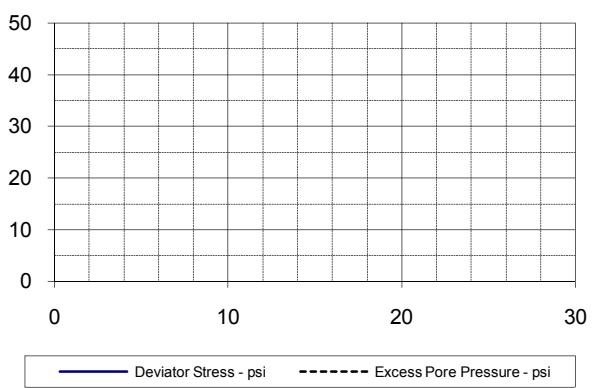
SPECIMEN NO. 2



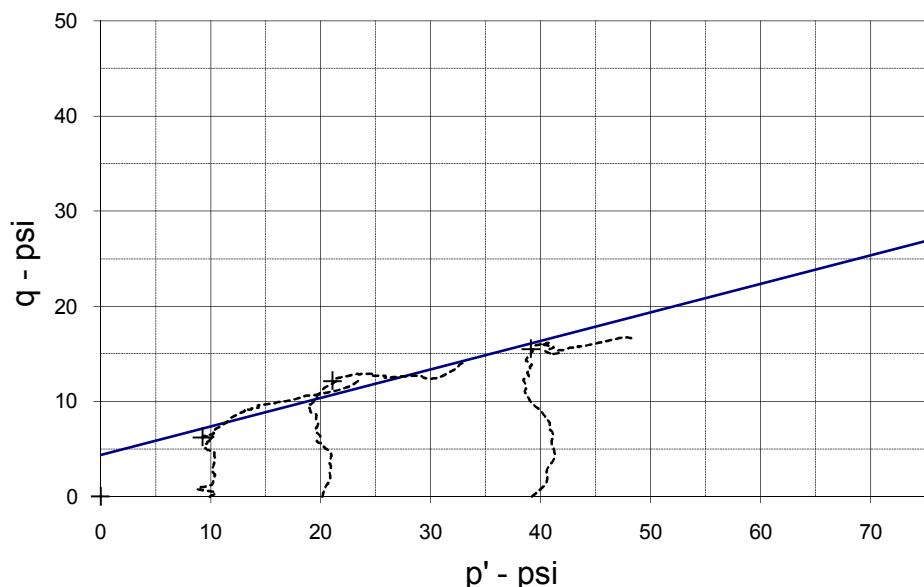
SPECIMEN NO. 3



SPECIMEN NO. 4



p - q DIAGRAM



EFFECTIVE STRESS PARAMETERS

 $R^2 = 0.93$ $\alpha \text{ (deg)} = 16.7$

a (psi) = 4.4

PROJECT: Pirkey Power Plant Embankments

TYPE OF TEST & NO: CU with PP

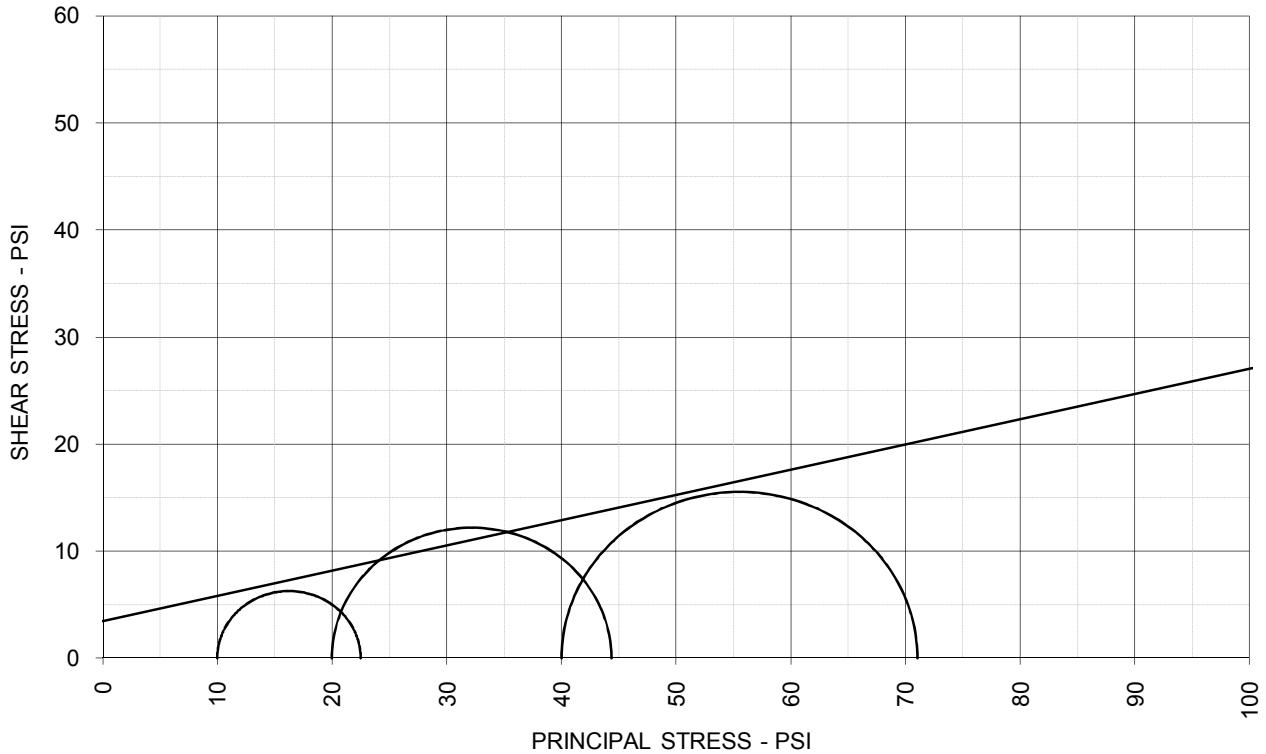
PROJECT NO: G 3241 - 095

DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand

ETTL ENGINEERS & CONSULTANTS

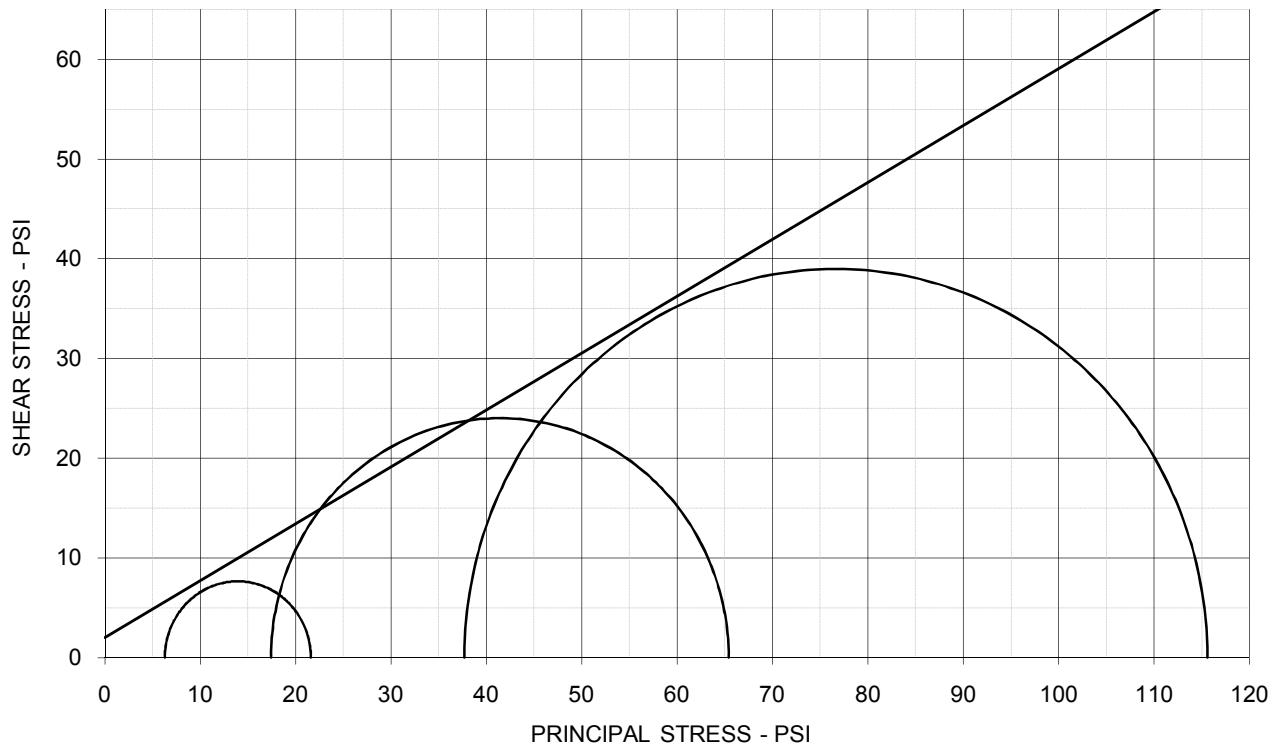
PLATE: B.2

TRIAXIAL SHEAR TEST REPORT

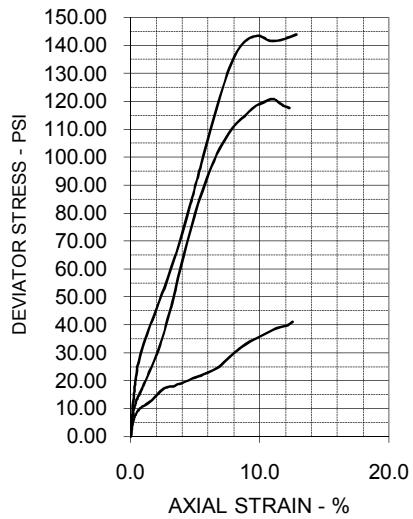


TOTAL STRESS PARAMETERS		$\phi = 13.3 \text{ deg}$	$C = 3.5 \text{ psi}$		
SPECIMEN NO.		1	2	3	4
INITIAL					
Moisture Content - %	25.3	23.6	23.9		
Dry Density - pcf	96.5	100.5	101.1		
Diameter - inches	2.05	2.02	2.04		
Height - inches	3.98	4.00	3.95		
AT TEST					
Final Moisture - %	28.8	28.1	24.5		
Dry Density - pcf	96.5	102.9	104.0		
Calculated Diameter (in.)	2.07	2.01	2.03		
Height - inches	4.02	3.98	3.91		
Effect. Cell Pressure - psi	10.0	20.0	40.0		
Failure Stress - psi	12.49	24.34	31.06		
Total Pore Pressure - psi	57.0	61.1	66.4		
Strain Rate - inches/min.	0.00050	0.00050	0.00050		
Failure Strain - %	1.0	2.1	1.5		
σ_1 Failure - psi	22.49	44.34	71.06		
σ_3 Failure - psi	10.00	20.00	40.00		
TEST DESCRIPTION		PROJECT INFORMATION			
TYPE OF TEST & NO: CU with PP		PROJECT: Pirkey Power Plant Embankments			
SAMPLE TYPE: Shelby Tube Sample		LOCATION: Hallsville, Texas			
DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand		PROJECT NO: G 3241 - 095			
Sampled on Site, W-1 13' to 20' deep		CLIENT:			
ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 5%		November 2009			
LL: 56 PL: 18 PI: 38 Percent -200: 71%		ETTL ENGINEERS & CONSULTANTS			
REMARKS: Both Ends and Diameter Trimmed + # 4 Sieve 0%		PLATE: B.3			

TRIAXIAL SHEAR TEST REPORT



EFFECTIVE STRESS PARAMETERS



$$\phi' = 29.7 \text{ deg}$$

$$c' = 2.0 \text{ psi}$$

SPECIMEN NO.	1	2	3	4
INITIAL				
Moisture Content - %	18.7	19.2	18.5	
Dry Density - pcf	108.5	105.5	104.8	
Diameter - inches	2.04	2.04	2.02	
Height - inches	4.25	4.18	4.37	
AT TEST				
Final Moisture - %	21.3	21.7	20.7	
Dry Density - pcf	108.9	106.7	106.7	
Calculated Diameter (in.)	2.03	2.02	2.00	
Height - inches	4.21	4.13	4.31	
Effect. Cell Pressure - psi	10.0	20.0	40.0	
Failure Stress - psi	15.27	47.96	77.89	
Total Pore Pressure - psi	63.7	52.6	52.3	
Strain Rate - inches/min.	0.00050	0.00050	0.00050	
Failure Strain - %	2.1	3.2	4.3	
σ_1' Failure - psi	21.58	65.38	115.61	
σ_3' Failure - psi	6.31	17.42	37.72	

TEST DESCRIPTION

TYPE OF TEST & NO: CU with PP
 SAMPLE TYPE: Native Shelby Tube Sample
 DESCRIPTION: Dark Gray Clayey Sand
 Sampled on Site, W-1 38' to 41' deep
 ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 1%
 LL: 29 PL: 19 PI: 10 Percent -200: 25%
 REMARKS: Diameter and Both Ends Trimmed. + # 4 Sieve 0%
 G-3241-095, W-1 38'-41' Native.xls

PROJECT INFORMATION

PROJECT: Pirkey Power Plant Embankments

LOCATION: Hallsville, Texas

PROJECT NO: G 3241 - 095

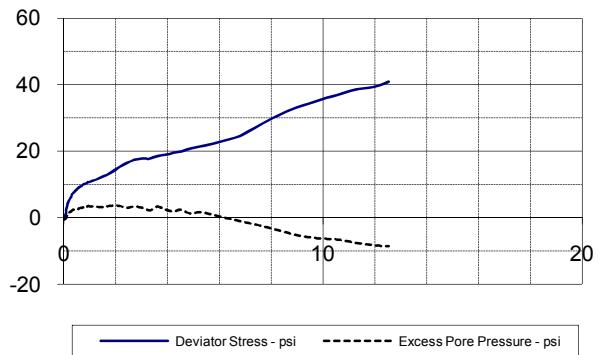
CLIENT:

November 2009

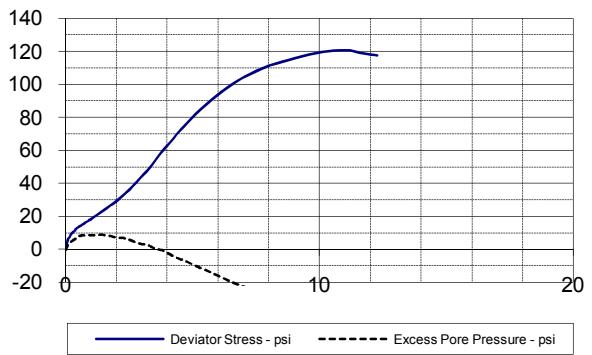
ETTL ENGINEERS & CONSULTANTS

PLATE: B.1

SPECIMEN NO. 1



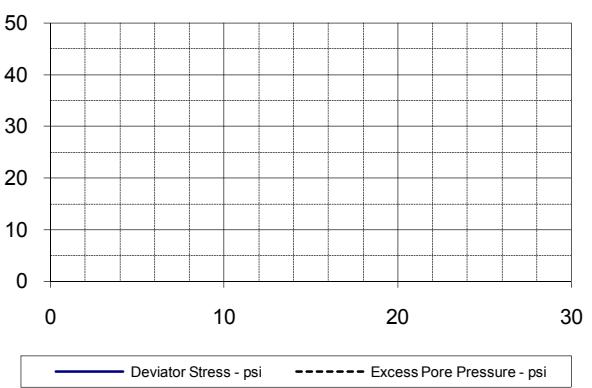
SPECIMEN NO. 2



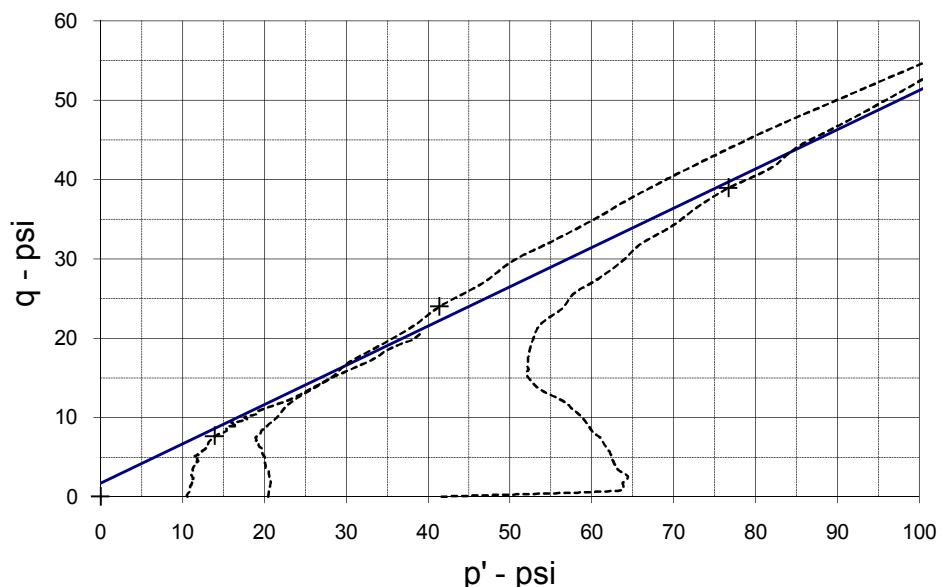
SPECIMEN NO. 3



SPECIMEN NO. 4



p - q DIAGRAM



EFFECTIVE STRESS PARAMETERS

 $R^2 = 0.99$ $\alpha \text{ (deg)} = 26.4$

a (psi) = 1.7

PROJECT: Pirkey Power Plant Embankments

TYPE OF TEST & NO: CU with PP

PROJECT NO: G 3241 - 095

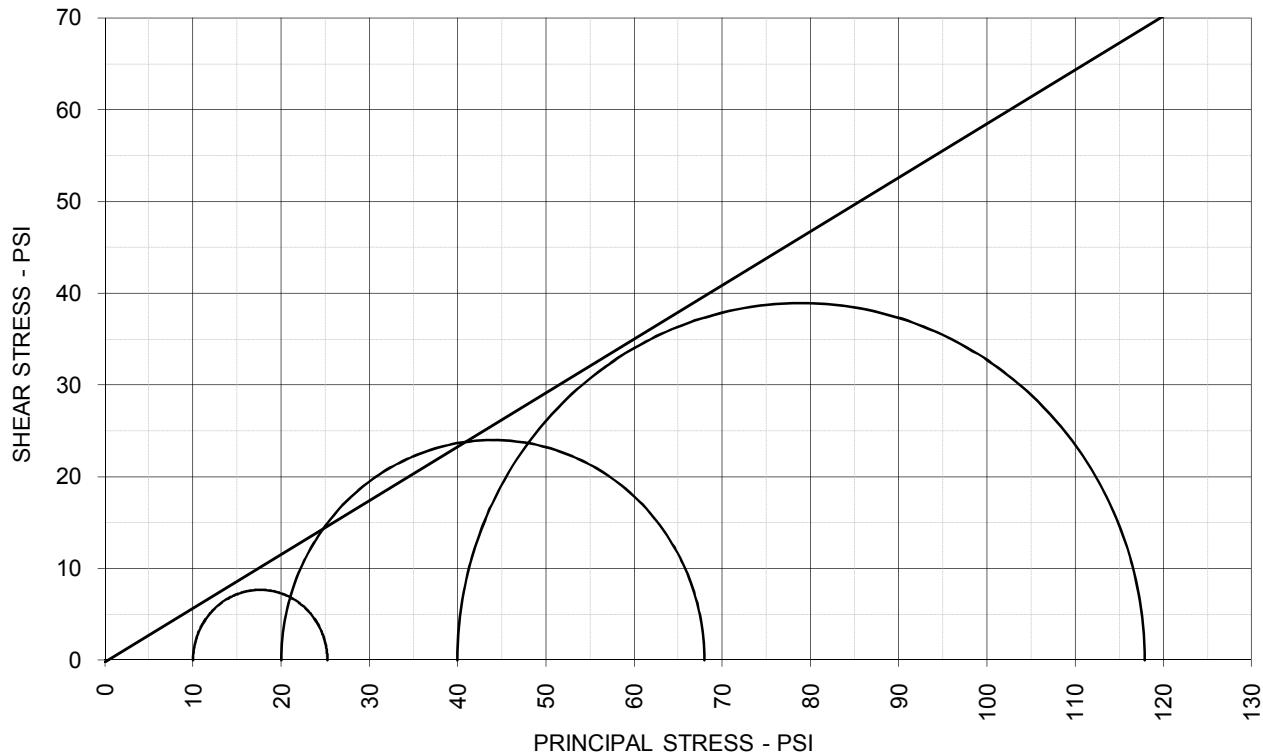
DESCRIPTION: Dark Gray Clayey Sand

ETTL ENGINEERS & CONSULTANTS

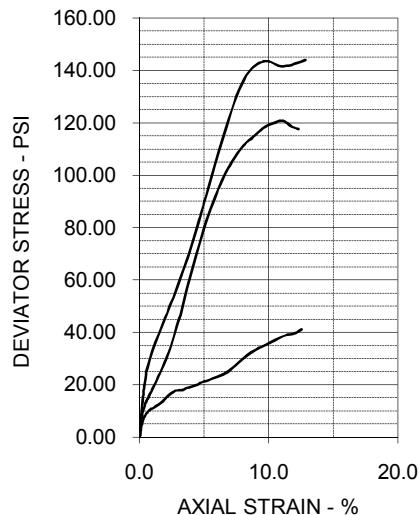
PLATE: B.2

G 3241-095, W-1 38'-41' Native.xls

TRIAXIAL SHEAR TEST REPORT



TOTAL STRESS PARAMETERS



$$\phi = 30.4 \text{ deg}$$

$$c = -0.2 \text{ psi}$$

SPECIMEN NO.	1	2	3	4
INITIAL				
Moisture Content - %	18.7	19.2	18.5	
Dry Density - pcf	108.5	105.5	104.8	
Diameter - inches	2.04	2.04	2.02	
Height - inches	4.25	4.18	4.37	
AT TEST				
Final Moisture - %	21.3	21.7	20.7	
Dry Density - pcf	108.9	106.7	106.7	
Calculated Diameter (in.)	2.03	2.02	2.00	
Height - inches	4.21	4.13	4.31	
Effect. Cell Pressure - psi	10.0	20.0	40.0	
Failure Stress - psi	15.27	47.96	77.89	
Total Pore Pressure - psi	63.7	52.6	52.3	
Strain Rate - inches/min.	0.00050	0.00050	0.00050	
Failure Strain - %	2.1	3.2	4.3	
σ_1 Failure - psi	25.27	67.96	117.89	
σ_3 Failure - psi	10.00	20.00	40.00	

TEST DESCRIPTION

TYPE OF TEST & NO: CU with PP
 SAMPLE TYPE: Native Shelby Tube Sample
 DESCRIPTION: Dark Gray Clayey Sand
 Sampled on Site, W-1 38' to 41' deep
 ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 1%
 LL: 29 PL: 19 PI: 10 Percent -200: 25%
 REMARKS: Diameter and Both Ends Trimmed. + # 4 Sieve 0%

PROJECT INFORMATION

PROJECT: Pirkey Power Plant Embankments

LOCATION: Hallsville, Texas

PROJECT NO: G 3241 - 095

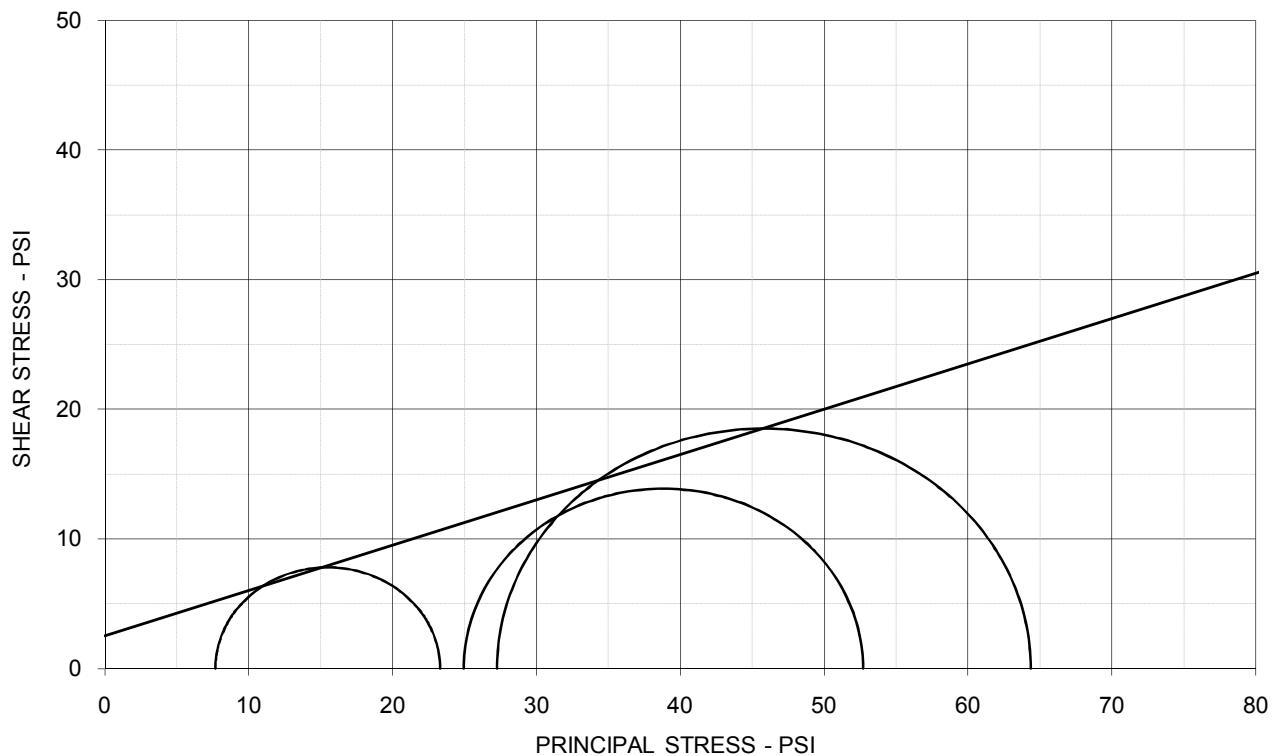
CLIENT:

November 2009

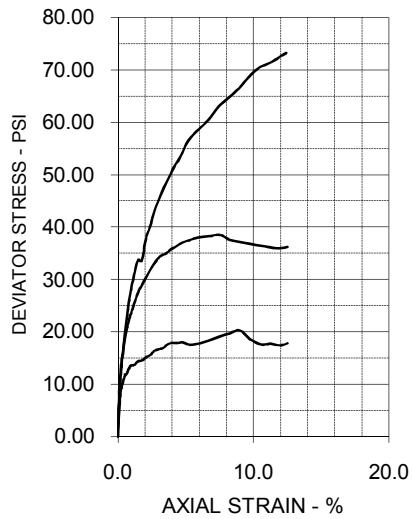
ETTL ENGINEERS & CONSULTANTS

PLATE: B.3

TRIAXIAL SHEAR TEST REPORT



EFFECTIVE STRESS PARAMETERS



ϕ' = 19.3 deg

C' = 2.5 psi

SPECIMEN NO.	1	2	3	4
INITIAL				
Moisture Content - %	23.4	21.5	23.6	
Dry Density - pcf	99.0	104.7	98.6	
Diameter - inches	1.99	2.01	2.00	
Height - inches	4.01	3.99	4.01	
AT TEST				
Final Moisture - %	27.8	20.6	27.1	
Dry Density - pcf	99.4	105.8	99.5	
Calculated Diameter (in.)	1.98	2.01	1.99	
Height - inches	3.99	3.97	3.98	
Effect. Cell Pressure - psi	10.0	20.0	40.0	
Failure Stress - psi	15.62	27.77	37.08	
Total Pore Pressure - psi	52.3	45.0	62.7	
Strain Rate - inches/min.	0.00050	0.00050	0.00050	
Failure Strain - %	2.4	1.0	4.8	
σ_1' Failure - psi	23.30	52.73	64.35	
σ_3' Failure - psi	7.68	24.96	27.27	

TEST DESCRIPTION

TYPE OF TEST & NO: CU with PP
 SAMPLE TYPE: Shelby Tube Sample
 DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand
 Sampled on Site, W-3 10' to 20' deep
 ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 6%
 LL: 68 PL: 22 PI: 46 Percent -200: 80%
 REMARKS: Both Ends and Diameter Trimmed + # 4 Sieve 0%
 G-3241-095, W-3 10'-20'.xls

PROJECT INFORMATION

PROJECT: Pirkey Power Plant Embankments

LOCATION: Hallsville, Texas

PROJECT NO: G 3241 - 095

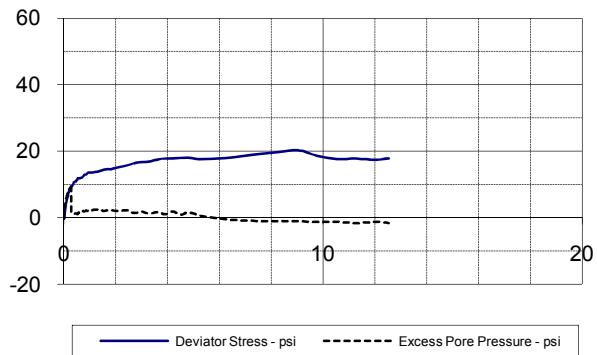
CLIENT:

November 2009

ETTL ENGINEERS & CONSULTANTS

PLATE: B.1

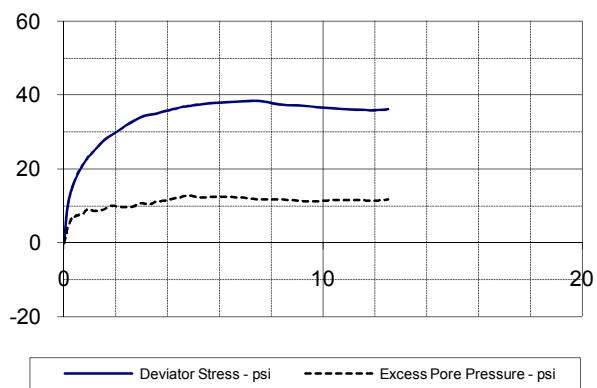
SPECIMEN NO. 1



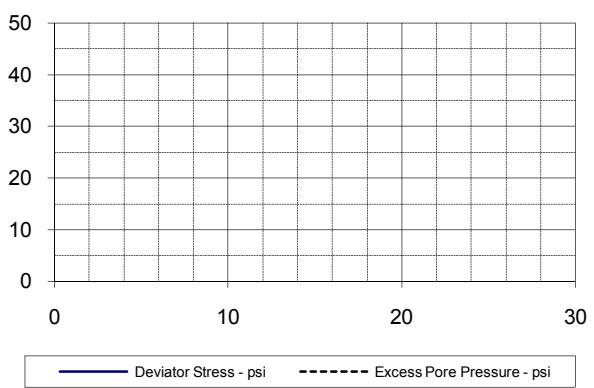
SPECIMEN NO. 2



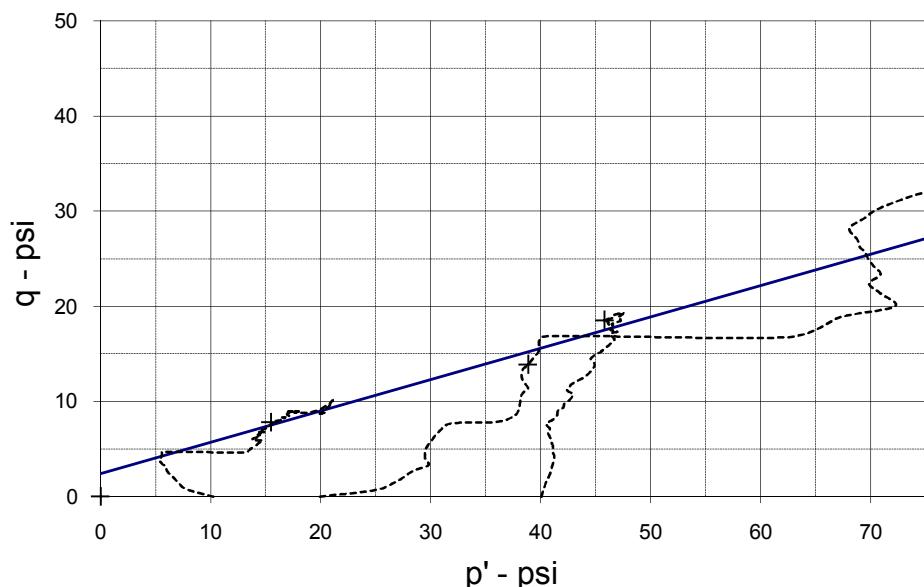
SPECIMEN NO. 3



SPECIMEN NO. 4



p - q DIAGRAM



EFFECTIVE STRESS PARAMETERS

 $R^2 = 0.95$ $\alpha \text{ (deg)} = 18.3$

a (psi) = 2.4

PROJECT: Pirkey Power Plant Embankments

TYPE OF TEST & NO: CU with PP

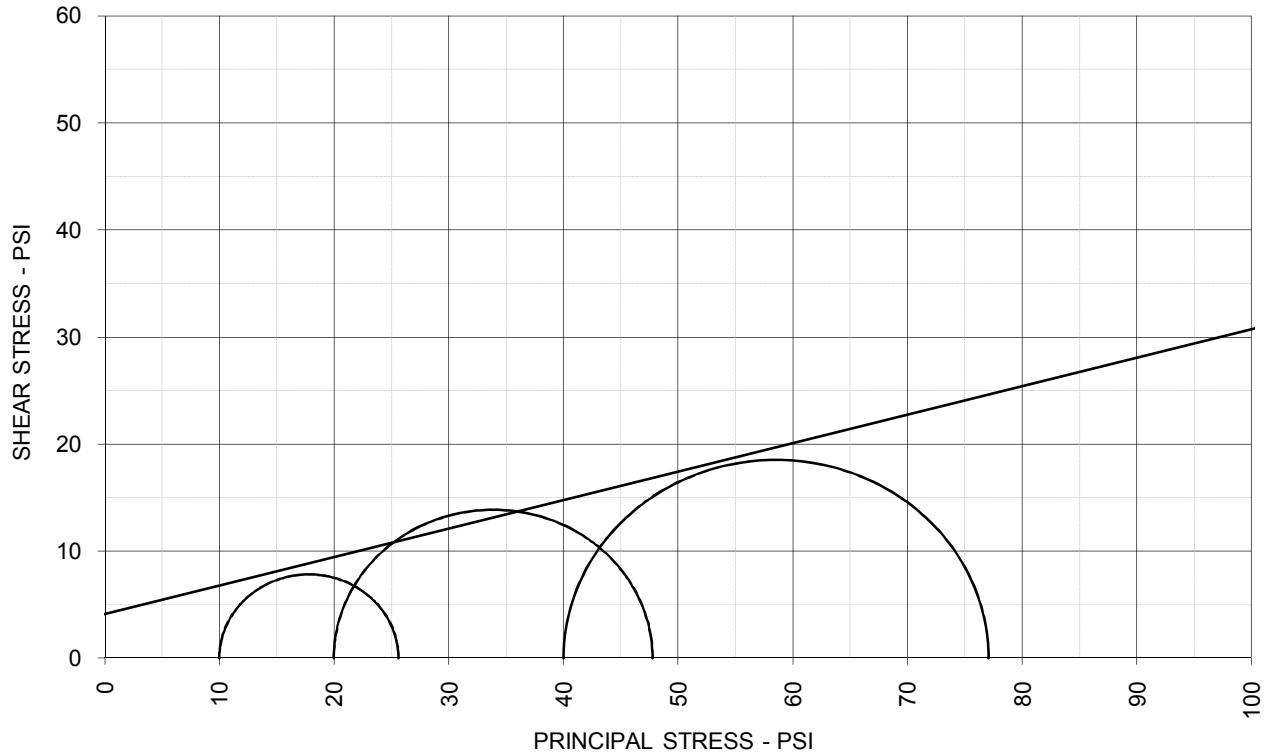
PROJECT NO: G 3241 - 095

DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand

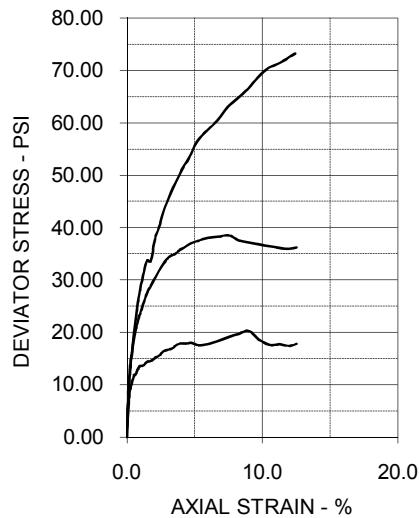
ETTL ENGINEERS & CONSULTANTS

PLATE: B.2

TRIAXIAL SHEAR TEST REPORT



TOTAL STRESS PARAMETERS



$$\phi = 14.9 \text{ deg}$$

$$C = 4.1 \text{ psi}$$

SPECIMEN NO.	1	2	3	4
INITIAL				
Moisture Content - %	23.4	21.5	23.6	
Dry Density - pcf	99.0	104.7	98.6	
Diameter - inches	1.99	2.01	2.00	
Height - inches	4.01	3.99	4.01	
AT TEST				
Final Moisture - %	27.8	20.6	27.1	
Dry Density - pcf	99.4	105.8	99.5	
Calculated Diameter (in.)	1.98	2.01	1.99	
Height - inches	3.99	3.97	3.98	
Effect. Cell Pressure - psi	10.0	20.0	40.0	
Failure Stress - psi	15.62	27.77	37.08	
Total Pore Pressure - psi	52.3	45.0	62.7	
Strain Rate - inches/min.	0.00050	0.00050	0.00050	
Failure Strain - %	2.4	1.0	4.8	
σ_1 Failure - psi	25.62	47.77	77.08	
σ_3 Failure - psi	10.00	20.00	40.00	

TEST DESCRIPTION

TYPE OF TEST & NO: CU with PP
 SAMPLE TYPE: Shelby Tube Sample
 DESCRIPTION: Red, Tan & Gray Fat Clay w/ Sand
 Sampled on Site, W-3 10' to 20' deep
 ASSUMED SPECIFIC GRAVITY: 2.7 + 40 Sieve 6%
 LL: 68 PL: 22 PI: 46 Percent -200: 80%
 REMARKS: Both Ends and Diameter Trimmed + # 4 Sieve 0%

PROJECT INFORMATION

PROJECT: Pirkey Power Plant Embankments
 LOCATION: Hallsville, Texas

PROJECT NO: G 3241 - 095

CLIENT:

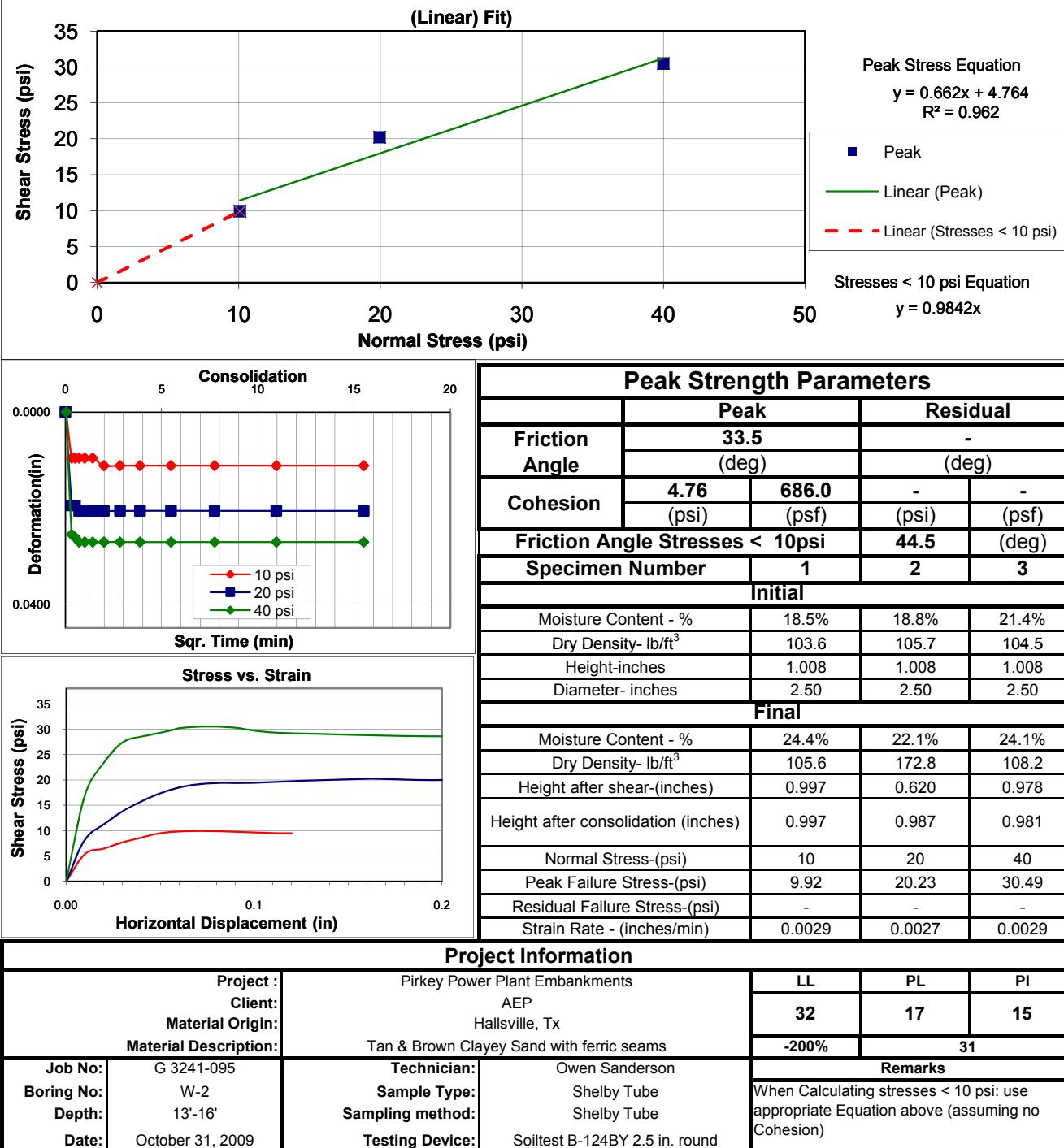
November 2009

ETTL ENGINEERS & CONSULTANTS

PLATE: B.3



ASTM 3080 Direct Shear Test Report





EUSTIS ENGINEERING

H W Pirkey Power Plant
West Primary Ash Pond
Future Landfill at K-Area
Hallsville, Texas
Project No: L0441

CPT ID/Net Area Ratio: DSG0709 / 0.8

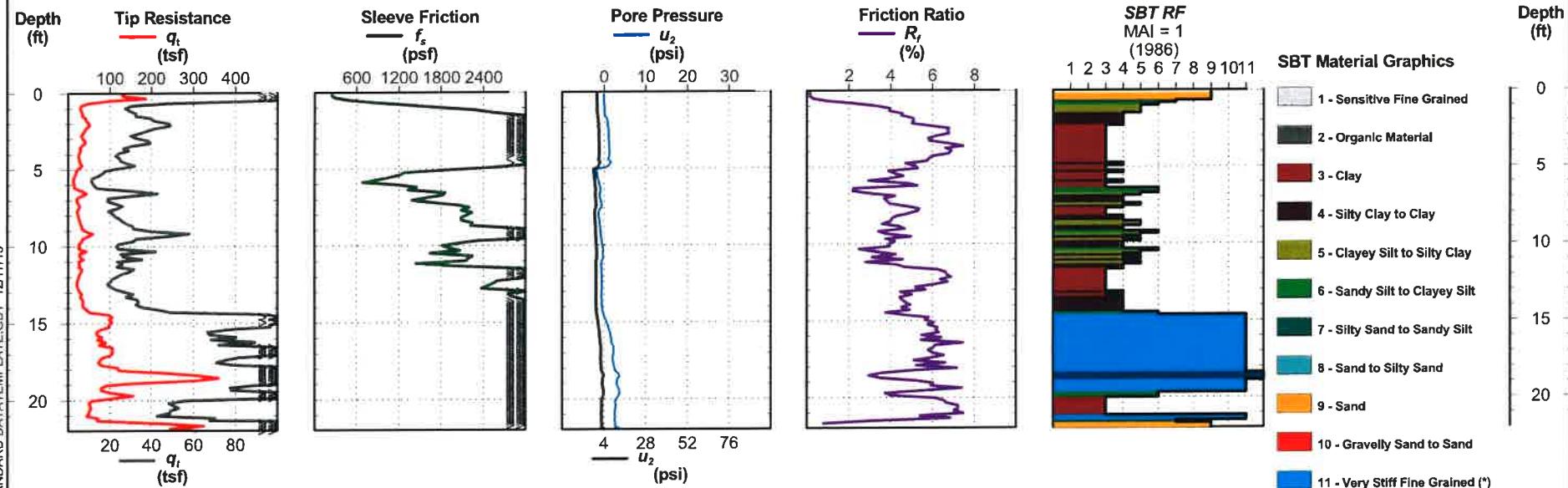
Cone Penetration Test

CPT-1

Latitude: 32.46619
Longitude: -94.48989

Water Depth: See Text
Total Depth: 22.0 ft

Date: 12/8/15
Operator: P. Thurmond



*overconsolidated or cemented



EUSTIS ENGINEERING

H W Pirkey Power Plant
West Primary Ash Pond
Future Landfill at K-Area
Hallsville, Texas
Project No: L0441

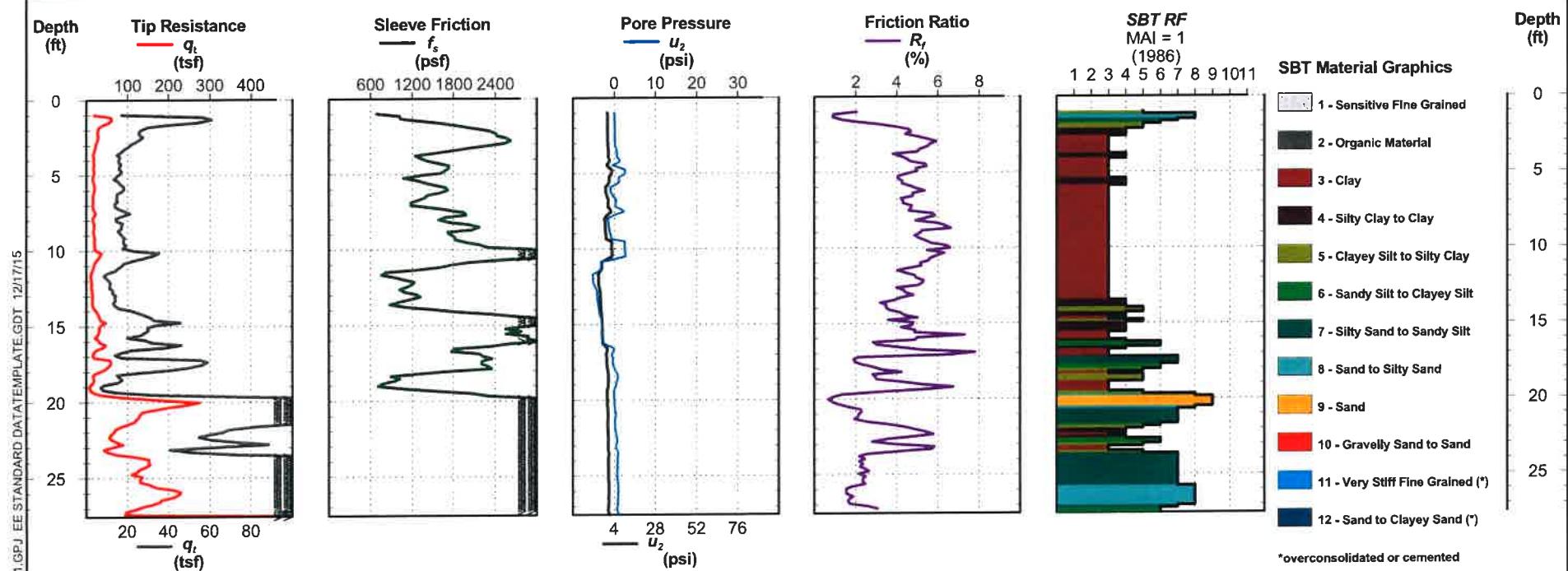
CPT ID/Net Area Ratio: DSG0709 / 0.8

Cone Penetration Test

CPT-2

Latitude: 32.46613
Longitude: -94.49157

Water Depth: See Text
Total Depth: 27.6 ft





EUSTIS ENGINEERING

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Project No: L0441

CPT ID/Net Area Ratio: DSG0709 / 0.8

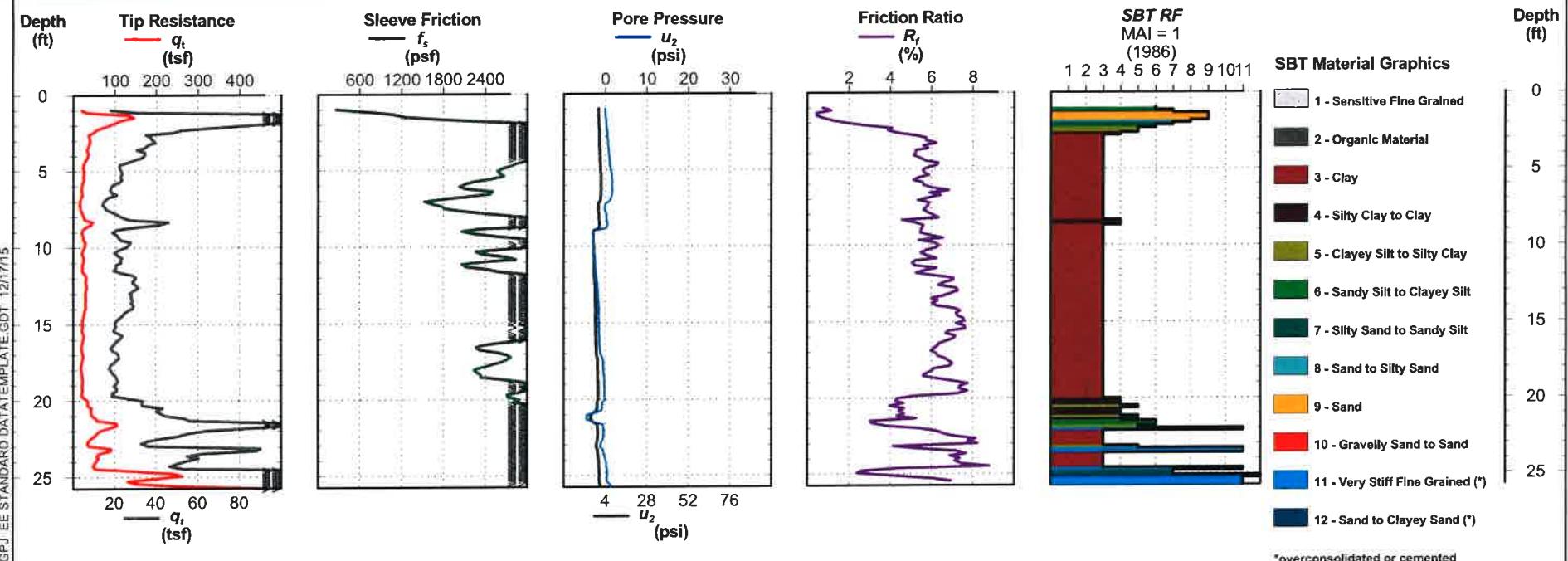
Cone Penetration Test

CPT-3

Latitude: 32.46587
Longitude: -94.49313

Water Depth: See Text
Total Depth: 25.8 ft

Date: 12/8/15
Operator: P. Thurmond





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Project No: L0441

CPT ID/Net Area Ratio: DSG0709 / 0.8

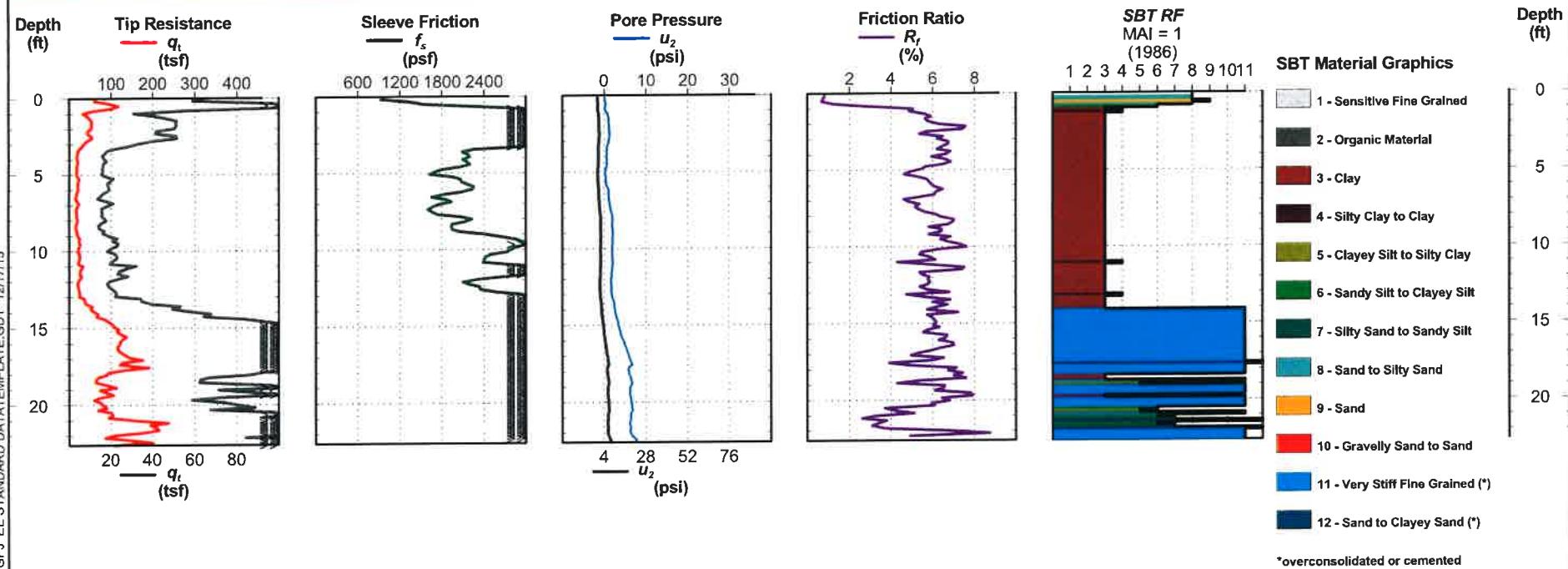
Cone Penetration Test

CPT-4

Latitude: 32.46672
Longitude: -94.49400

Water Depth: See Text
Total Depth: 22.6 ft

Date: 12/8/15
Operator: P. Thurmond





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Project No: L0441

CPT ID/Net Area Ratio: DSG0709 / 0.8

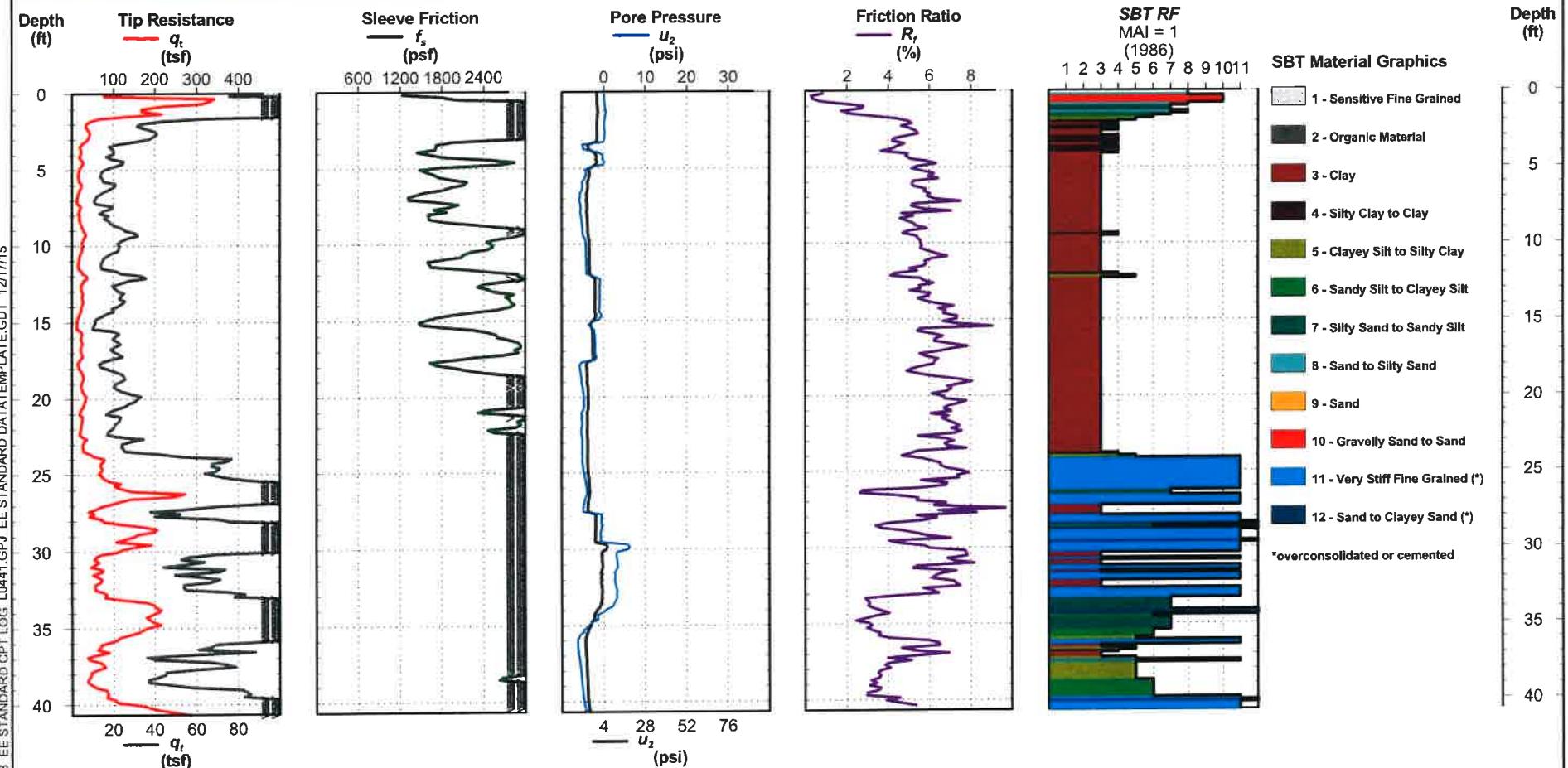
Cone Penetration Test

CPT-5

Latitude: 32.46803
Longitude: -94.49390

Water Depth: See Text
Total Depth: 40.7 ft

Date: 12/9/15
Operator: P. Thurmond





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CPT ID/Net Area Ratio: DSG0709 / 0.8

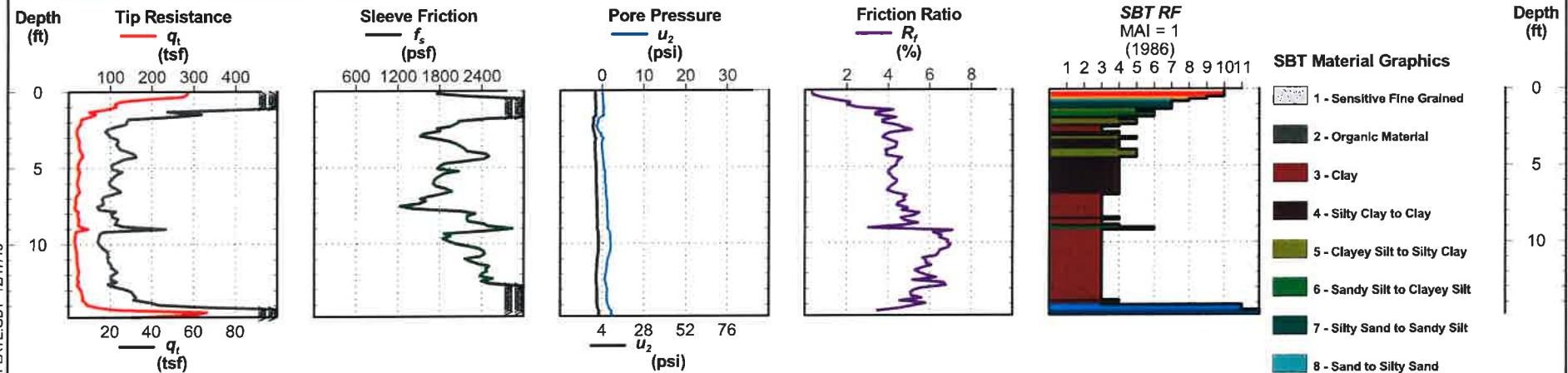
Cone Penetration Test

CPT-6

Latitude: 32.46887
Longitude: -94.49243

Water Depth: See Text
Total Depth: 14.8 ft

Date: 12/9/15
Operator: P. Thurmond



*overconsolidated or cemented



EUSTIS ENGINEERING

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Project No: L0441

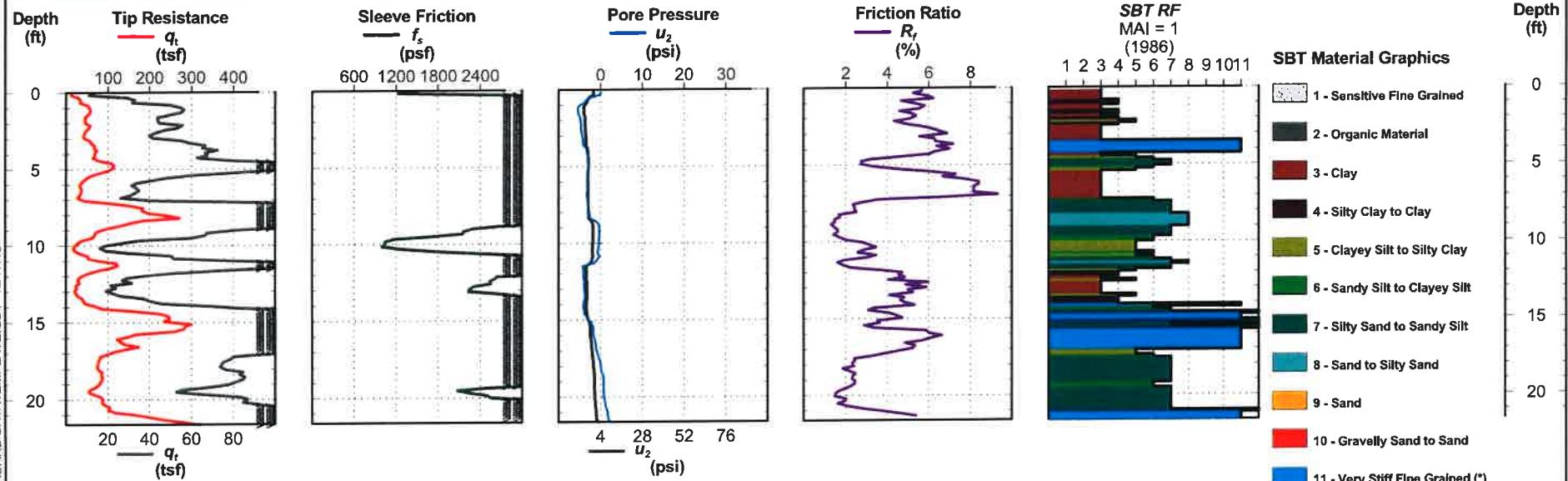
CPT ID/Net Area Ratio: DSG0709 / 0.8

Cone Penetration Test

CPT-7

Latitude: 32.46572
Longitude: -94.49256

Water Depth: See Text
Total Depth: 21.7 ft



*overconsolidated or cemented



EUSTIS ENGINEERING

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Hallsville, Texas
Project No: L0441

CPT ID/Net Area Ratio: DSG0709 / 0.8

Cone Penetration Test

CPT-8

Latitude: 32.46603
Longitude: -94.49087

Water Depth: See Text
Total Depth: 20.0 ft

